

# The placebo effect in pain studies

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# Definition (1)



“Placebo” is the Latin word for “I shall please” and appeared in the opening phrase, “Placebo domino in regione vivorum,” of the Catholic Vesper for the Dead, psalm 116, 9th verse.

The New Medical Dictionary, published in 1785, described placebo as “a commonplace method or medicine”

In 1811, the revised Quincy's Lexicon-Medicum defines placebo as 'an epithet given to any medicine adapted more to please than to benefit the patient '

## In the context of RCTs

Placebo effect

=

Clinical effects induced by an “inert” agent or sham treatment

# Pioneer scientific studies



Une opération sur le front italien, en 1944. *US National Library of Medicine*

## Henry Beecher



1602

J.A.M.A., Dec. 24, 1955

### THE POWERFUL PLACEBO

*Henry K. Beecher, M.D., Boston*

Placebos have doubtless been used for centuries by wise physicians as well as by quacks, but it is only recently that recognition of an enquiring kind has been given the clinical circumstance where the use of this tool is essential "... to distinguish pharmacological effects from the effects of suggestion, and ... to obtain an unbiased assessment of the result of experiment." It is interesting that Pepper could say as recently as 10 years ago "apparently there has never been a paper published discussing [primarily] the important subject of the placebo." In 1953 Gaddum<sup>1</sup> said:

Such tablets are sometimes called placebos, but it is better to call them dummies. According to the Shorter Oxford Dictionary the word placebo has been used since 1811 to mean a medicine given more to please than to benefit the patient. Dummy tablets are not particularly noted for the pleasure which they give to their recipients. One meaning of the word dummy is a "counterfeit object." This seems to me the right word to describe a form of treatment which is intended to have no effect and I follow those who use it. A placebo is something which is intended to act through a psychological mechanism. It is an aid to therapeutic suggestion, but the effect which it produces may be either psychological or physical. It may make the patient feel better without any obvious justification, or it may produce actual changes in such things as the gastric secretion. ... Dummy tablets may, of course, act as placebos, but, if they do, they lose some of their value as dummy tablets. They have two real functions, one of which is to distinguish pharmacological effects from the effects of suggestion, and the other is to obtain an unbiased assessment of the result of experiment.

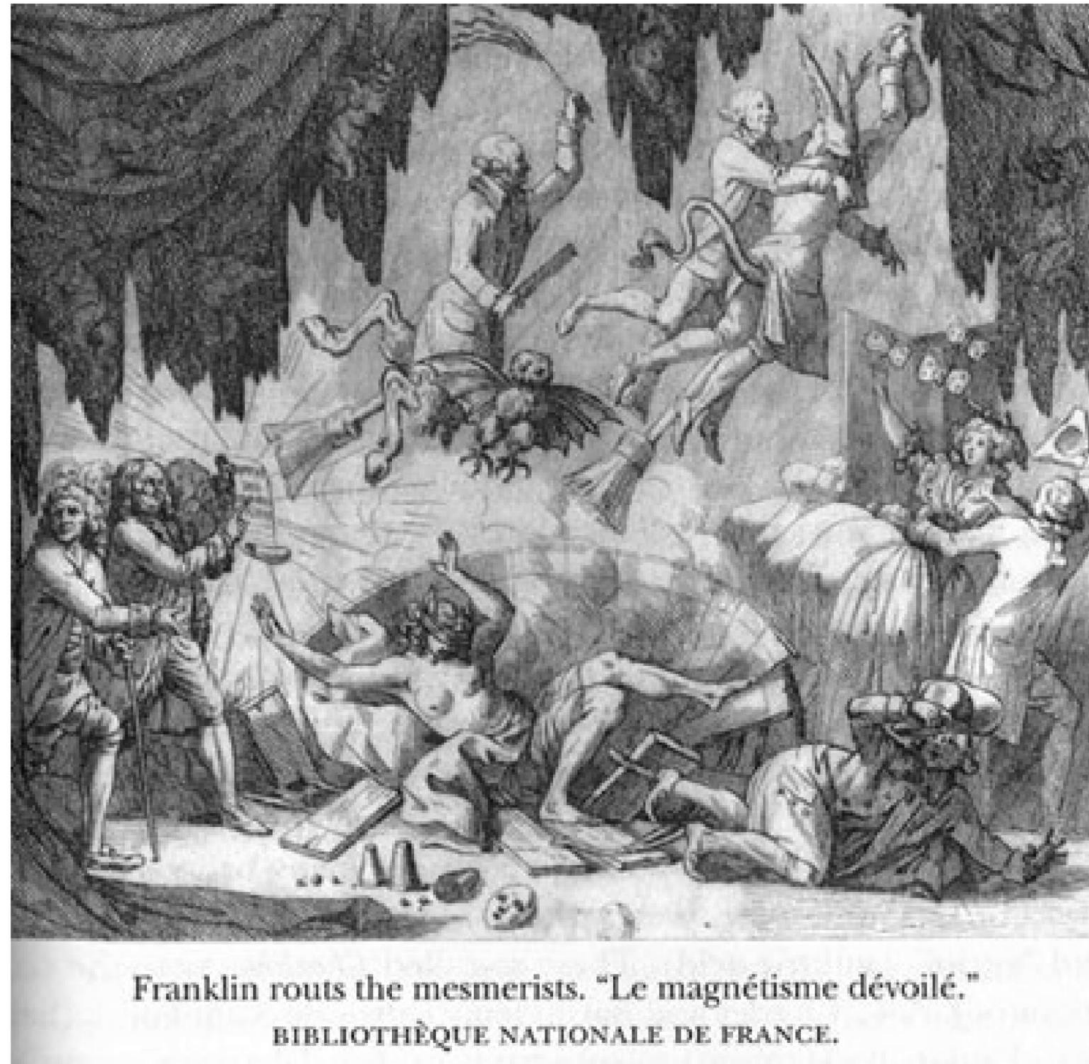
drugs have an important part of their action on the reaction or processing component of suffering, as opposed to their effect on the original sensation.

The opportunities opened up by the placebo are unique, for it cannot possibly enter into any process by virtue of its chemical composition. It has, so to speak, neither the reactivity nor the physical dimensions required of an "effective" drug. It does not matter in the least what the placebo is made of or how much is used so long as it is not detected as a placebo by the subject or the observer. Thus the placebo provides an indispensable tool for study of the reaction or processing component of suffering. This will be referred to later on in this paper. I have discussed it extensively elsewhere.<sup>2</sup>

#### REASONS FOR USE

Reasons for the use of the placebo can be indicated by summarizing, then, its common purposes: as a psychological instrument in the therapy of certain ailments arising out of mental illness, as a resource of the harassed doctor in dealing with the neurotic patient, to determine the true effect of drugs apart from suggestion in experimental work, as a device for eliminating bias not only on the part of the patient but also, when used as an unknown, of the observer, and, finally, as a tool of importance in the study of the mechanisms of drug action. Moreover, as a consequence of the use of placebos, those who react to them in a positive way can be

1784: first reported placebo-controlled medical experiments to debunk the healing practices of mesmerism.



A study designed by Benjamin Franklin and Antoine Lavoisier

# The placebo controversy

SPECIAL ARTICLE

## Is the Placebo Powerless? — An Analysis of Clinical Trials Comparing Placebo with No Treatment

Asbjørn Hróbjartsson, M.D., and Peter C. Gøtzsche, M.D.



Journal of Clinical Epidemiology 55 (2002) 430–435

Journal of  
Clinical  
Epidemiology

### What are the main methodological problems in the estimation of placebo effects?

Asbjørn Hróbjartsson\*

*Department of Medical Philosophy and Clinical Theory, University of Copenhagen, Panum Institute, Blegdamsvej 3 DK-220,0 Copenhagen N, Denmark*

Received 16 April 2001; received in revised form 11 October 2001; accepted 12 October 2001



Journal of Clinical Epidemiology 64 (2011) 1223–1229

Journal of  
Clinical  
Epidemiology

### Placebo effect studies are susceptible to response bias and to other types of biases

Asbjørn Hróbjartsson<sup>a,\*</sup>, Ted J. Kaptchuk<sup>b</sup>, Franklin G. Miller<sup>c</sup>

<sup>a</sup>*The Nordic Cochrane Centre, Rigshospitalet, Copenhagen, Denmark*

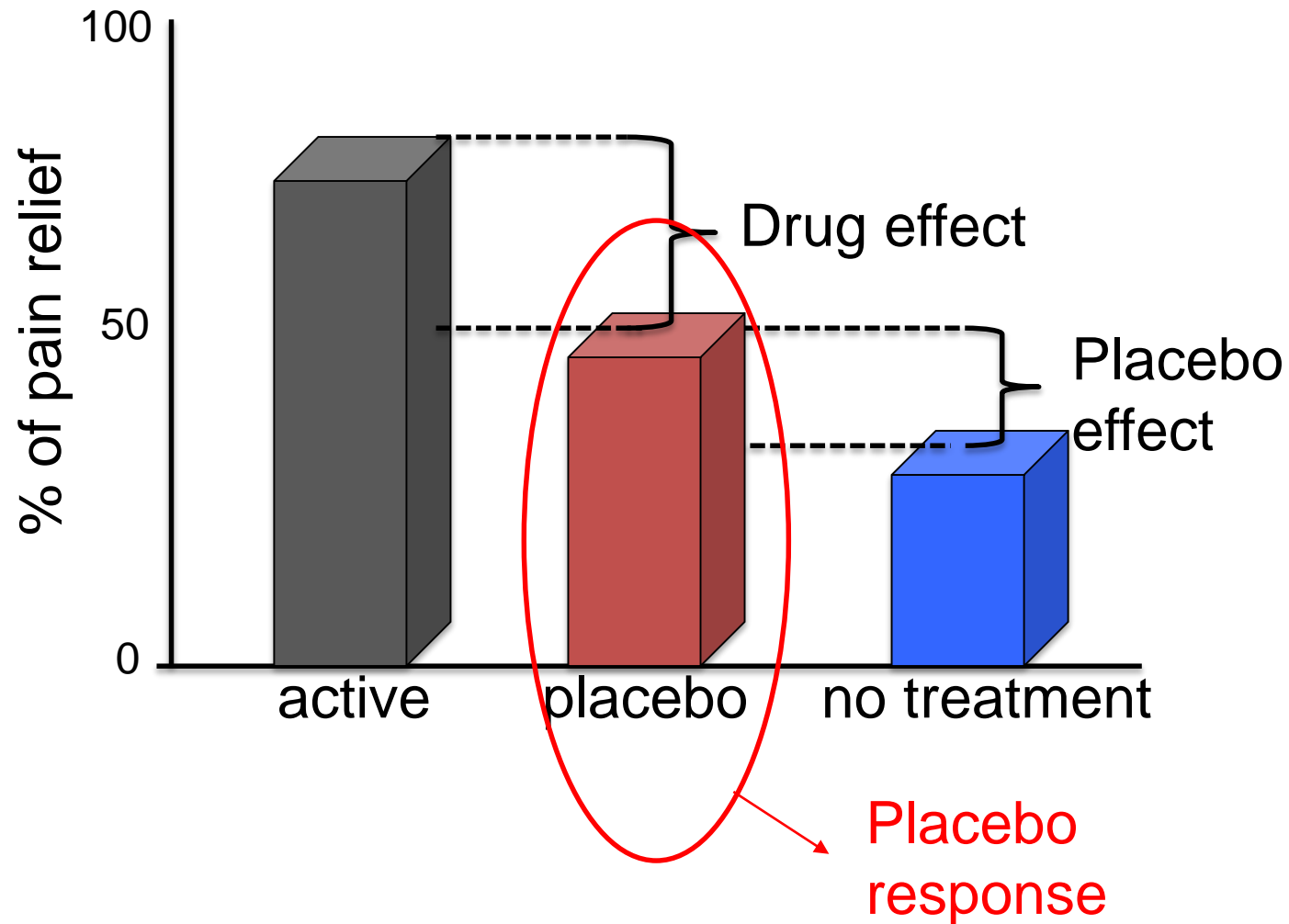
<sup>b</sup>*Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA, USA*

<sup>c</sup>*Department of Bioethics, National Institutes of Health, Bethesda, MD, USA*

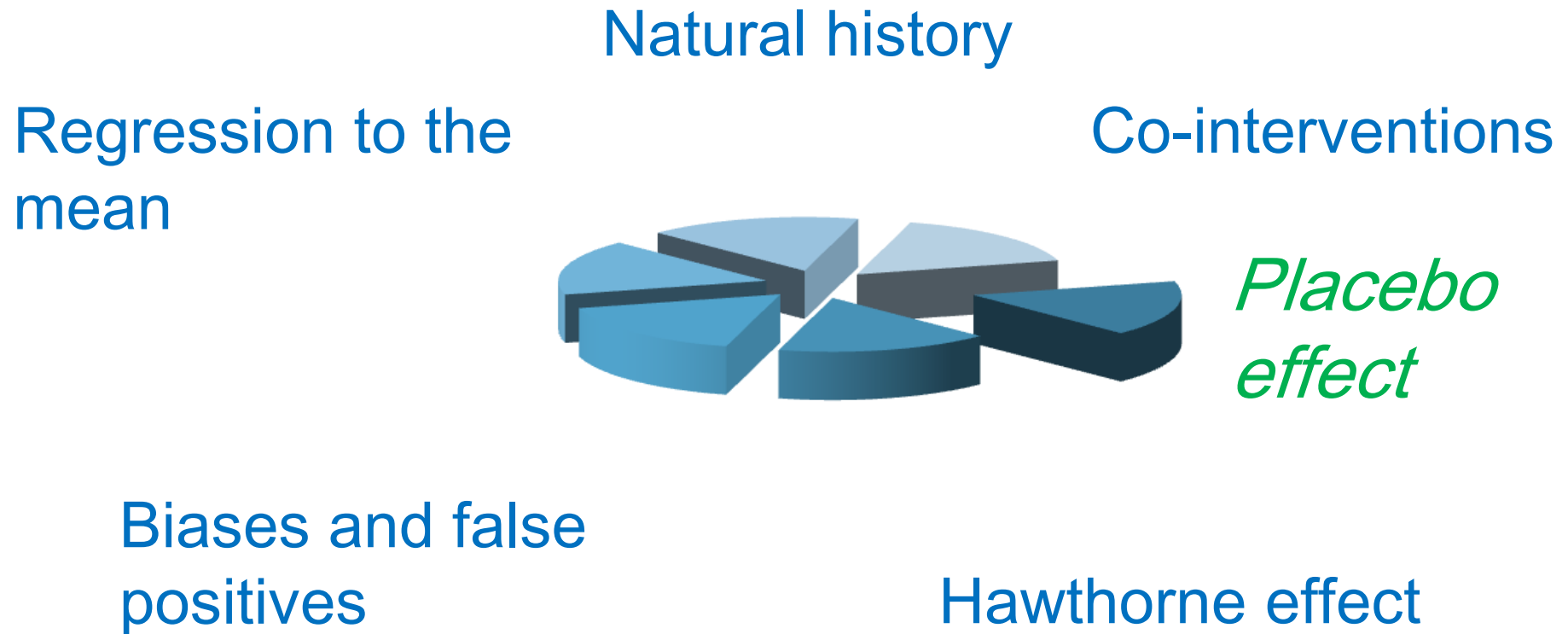
Accepted 28 January 2011; Published online 23 April 2011

# Definitions (2)

## Placebo response vs placebo effect



# The placebo effect is only one of the non specific mechanisms



Colloca L, Finniss D, Benedetti F. Placebo and Nocebo. Hodder Arnold, 2008:499-513

Colloca L, Benedetti F. In: Price D. D., Bushnell C., eds. Seattle, WA: IASP 2004, 29:187-205

## Placebo interventions for all clinical conditions

Monitoring Editor: [Asbjørn Hróbjartsson](#),<sup>✉</sup> [Peter C Gøtzsche](#), and Cochrane Consumers and Communication Group  
Rigshospitalet, The Nordic Cochrane Centre, Blegdamsvej 9, 3343, CopenhagenDenmark, 2100  
Asbjørn Hróbjartsson, Email: [ah@cochrane.dk](mailto:ah@cochrane.dk).



Overall moderate placebo effect in studies related to pain, nausea, asthma and phobia (ES about 0.5)



Larger placebo effects in pain studies using devices in comparison with those using pills (ES over 0.8)



## Definition (2)

« *Placebo effects are improvements in patients' symptoms that are attributable to their participation in the therapeutic encounter, with its rituals, symbols, and interactions* » (Kaptchuk, 2015)

### External context

**Verbal suggestions:**  
"This is going to make you feel better"

**Place cues:**  
Doctor's office

**Social cues:**

- Eye gaze
- Body language
- Voice cues
- White coat



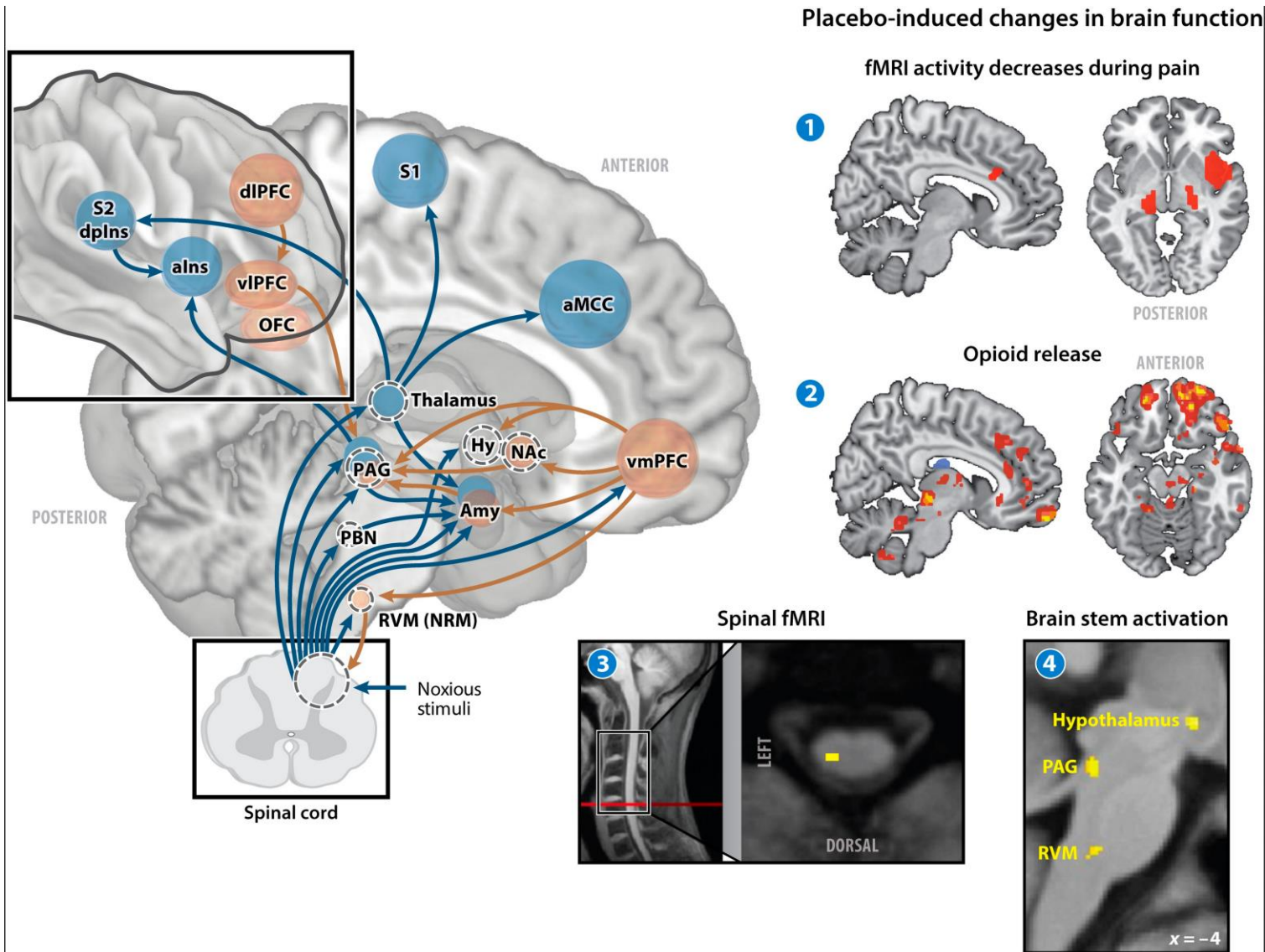
**Treatment cues:**

- Syringe
- Needle puncture

### Internal context

- **Outcome expectancies:**  
"My pain will go away"
- **Emotions:**  
"I am less anxious"
- **Meaning schema:**  
"I am being cared for"
- **Explicit memories**
- **Pre-cognitive associations**

# The placebo effect is not a response bias, but involves specific neurobiological mechanisms



Decreased activity in The “pain matrix”

Increased activity in areas involved in pain modulation

## Placebo effect is present in many conditions

- Pain, depression, anxiety, Parkinson disease, asthma, nausea, etc.

## Placebo effect is seen with all kind of treatments

- Drugs, surgery, complementary medicine, psychotherapies, etc.



Placebo does not “cure” (no pathophysiological effects) but can significantly improves symptoms

# Magnitude of the placebo response

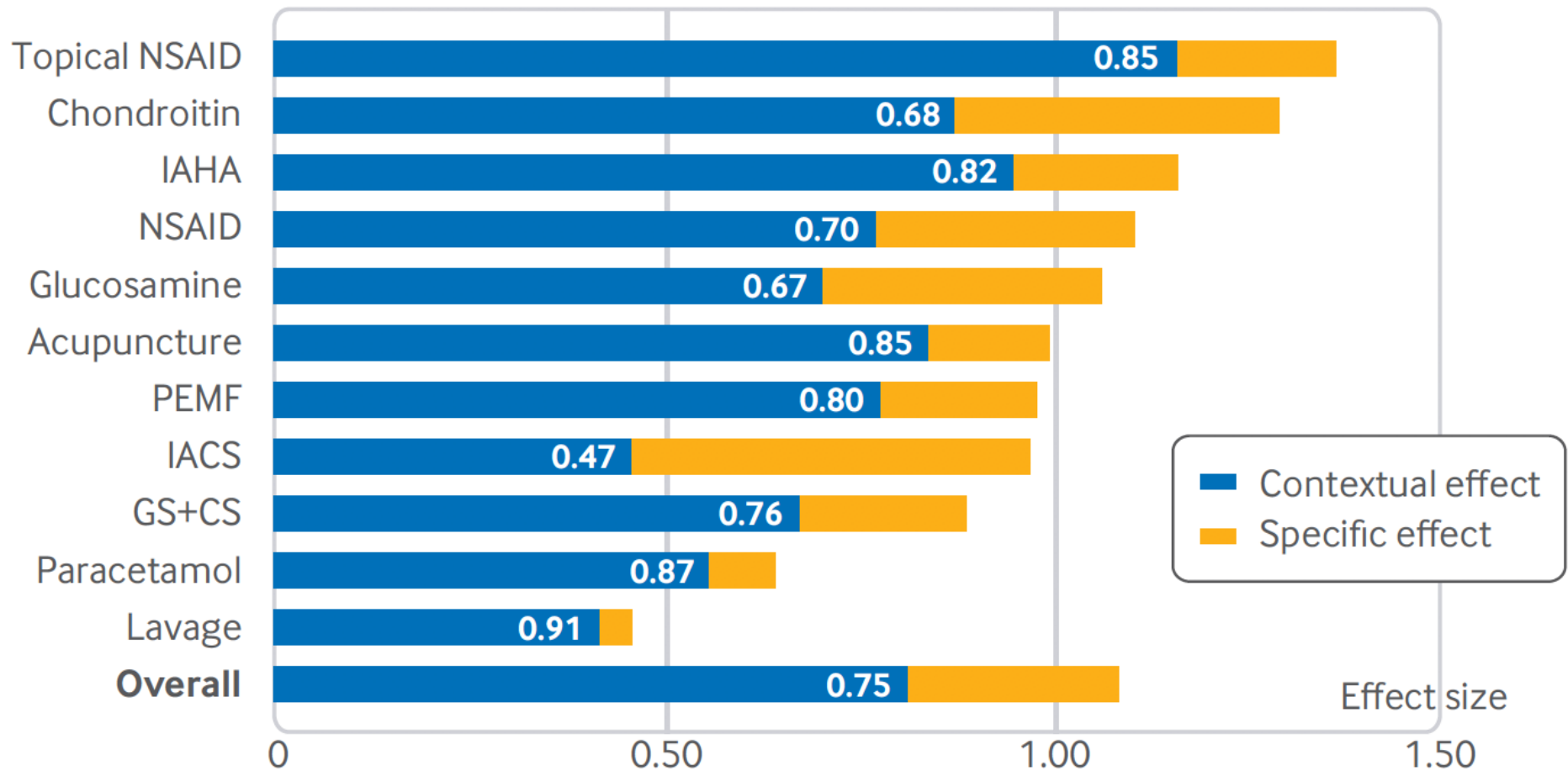
10 % to 50 % across various pain conditions  
(50% decrease in pain intensity)

- 15-30% in neuropathic pain studies (Arakawa et al., 2015)
- 16% in dental pain (Averbuch and Katzper, 2015)
- 20-50 % in migraine (Macedo et al. 2006)
- 15-40% in fibromyalgia (Hauser et al., 2011)
- 20% pancreatic pain (Capurso et al., 2012)



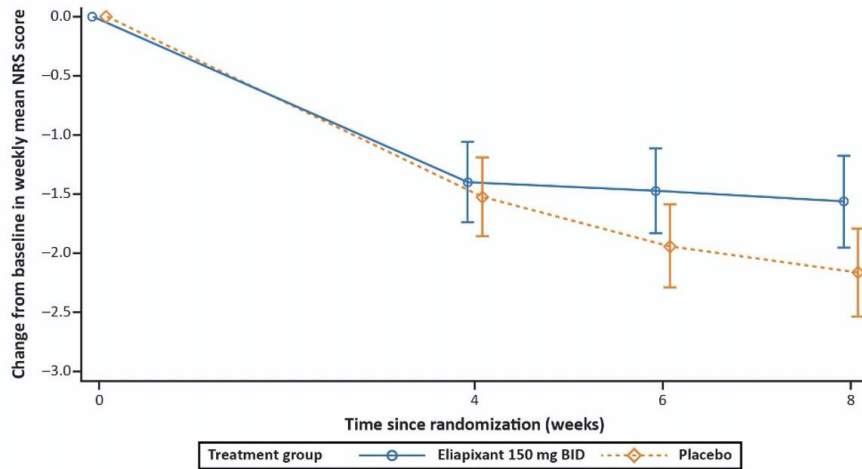
**Up to 75-85% of the active treatment effects  
could be due to unspecific effects**

# Percentage of the observed response attributable to placebo responses in studies related to osteoarthritis pain



# The placebo response can be larger than the active drug

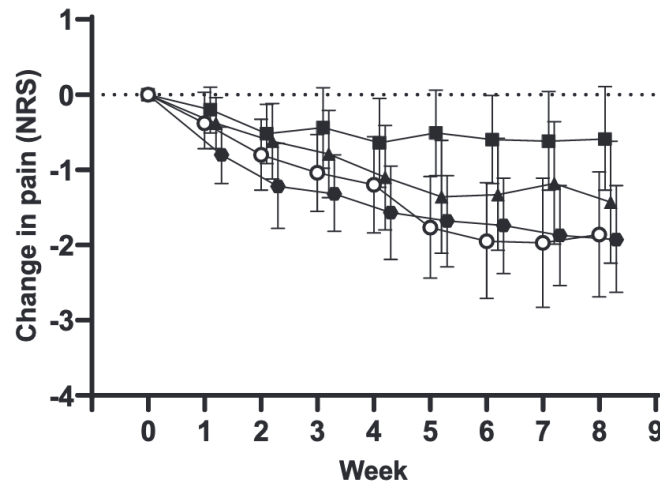
A



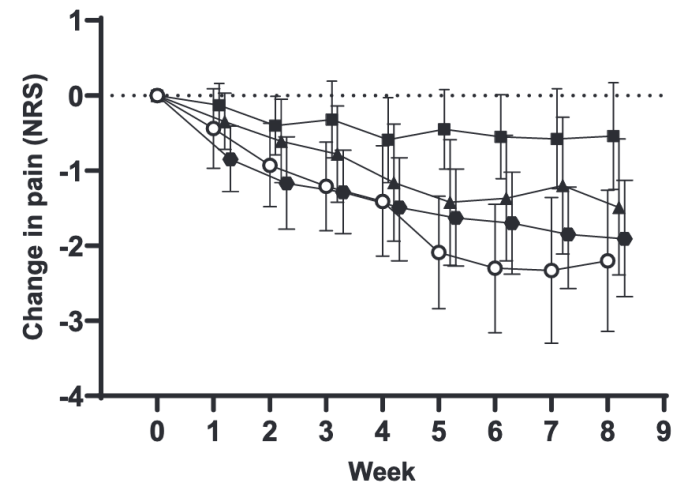
	Posterior mean change from baseline			
Eliapixant 150 mg BID	0	-1.40	-1.47	-1.56
Placebo	0	-1.52	-1.94	-2.17

Bouhassira et al., Pain 2023

ITT



PPP



Zubcevic et al., Eur J Pain 2023

# Placebo effect is higher with invasive treatments

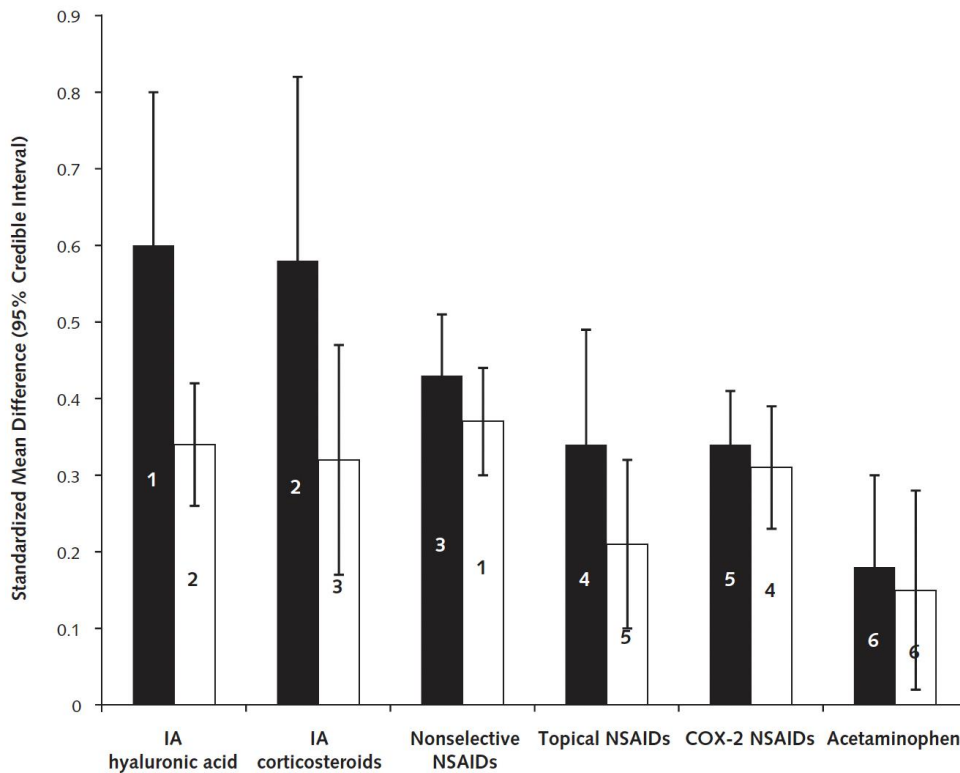
Annals of Internal Medicine

REVIEW

## Effectiveness and Implications of Alternative Placebo Treatments

### A Systematic Review and Network Meta-analysis of Osteoarthritis Trials

Raveendhara R. Bannuru, MD, PhD; Timothy E. McAlindon, MD; Matthew C. Sullivan, BA; John B. Wong, MD; David M. Kent, MD; and Christopher H. Schmid, PhD

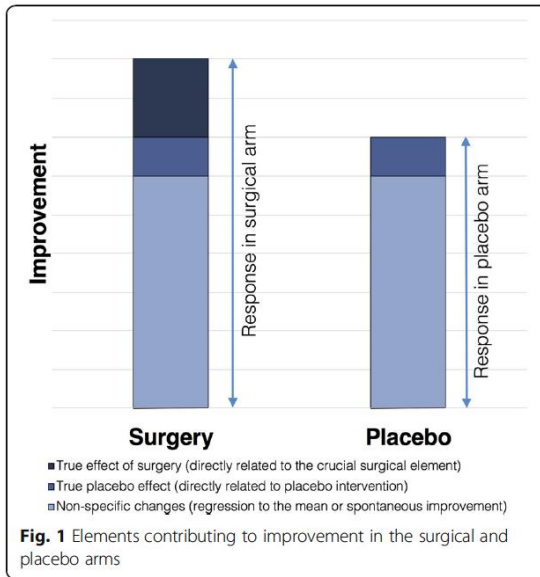


Intra-articular > topical > oral  
for OA pain

# Placebo and surgery

## Comparison of Internal Mammary Artery Ligation and Sham Operation for Angina Pectoris\*

E. GREY DIMOND, M.D., F.A.C.C., C. FREDERICK KITTLE, M.D. and JAMES E. CROCKETT, M.D.  
Kansas City, Kansas



1102

Clinical Science

J.A.M.A., July 1, 1961

## Surgery as Placebo

A Quantitative Study of Bias

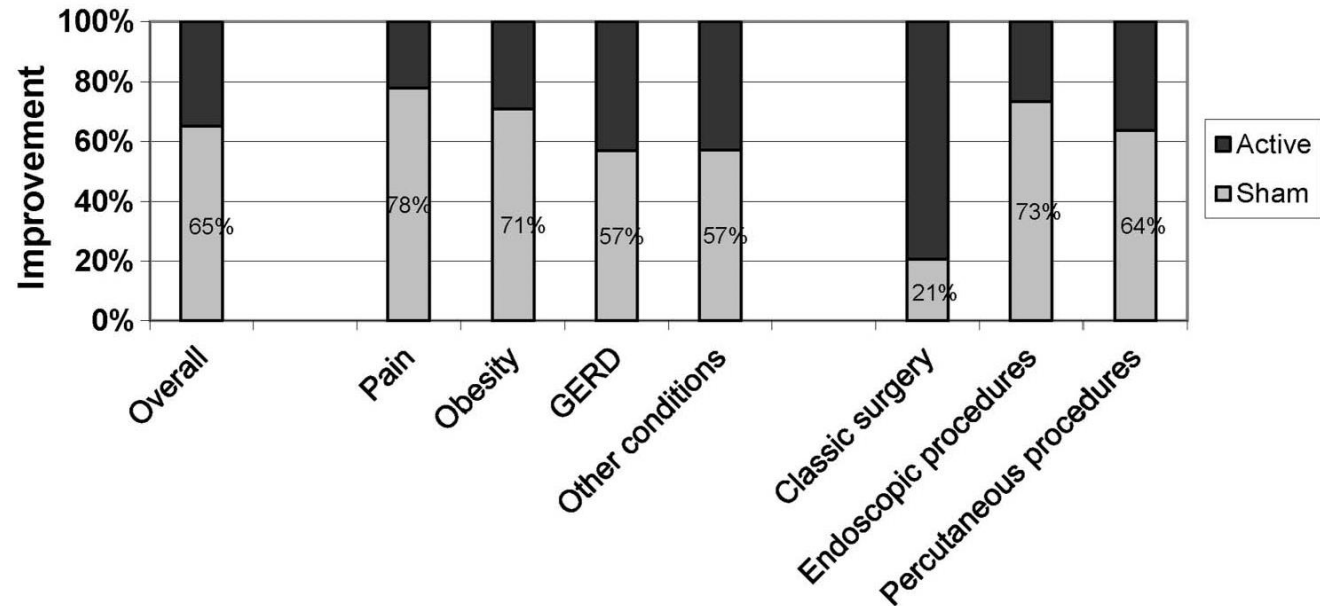
Henry K. Beecher, M.D., Boston



# BMJ Open To what extent are surgery and invasive procedures effective beyond a placebo response? A systematic review with meta-analysis of randomised, sham controlled trials

Wayne B Jonas,<sup>1</sup> Cindy Crawford,<sup>1</sup> Luana Colloca,<sup>2,3</sup> Ted J Kaptchuk,<sup>4</sup>  
Bruce Moseley,<sup>5</sup> Franklin G Miller,<sup>6</sup> Levente Kriston,<sup>7</sup> Klaus Linde,<sup>8</sup> Karin Meissner<sup>9</sup>

**Figure 4** Relative contribution to improvement in the placebo and active treatment groups.



# Duration of the placebo effect

Wartolowska et al. *Trials* (2016) 17:589  
DOI 10.1186/s13063-016-1720-7

Trials

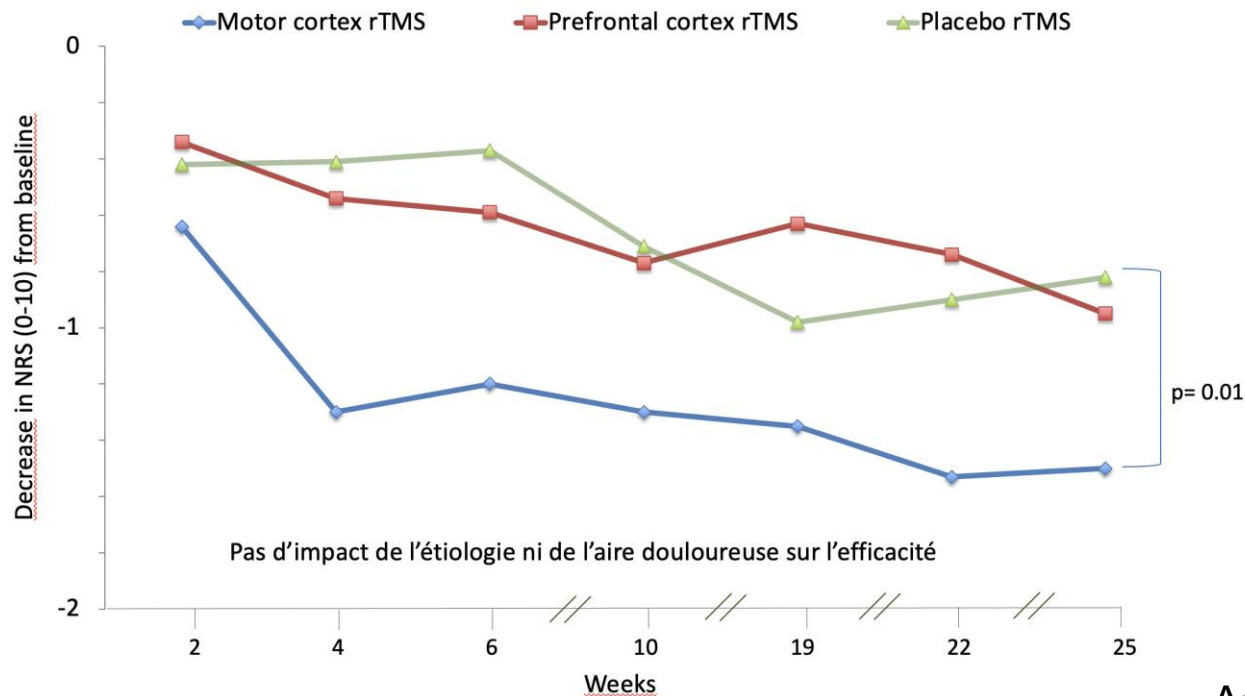
REVIEW

Open Access



## The magnitude and temporal changes of response in the placebo arm of surgical randomized controlled trials: a systematic review and meta-analysis

Karolina A. Wartolowska<sup>1,2\*</sup>, Benjamin G. Feakins<sup>3</sup>, Gary S. Collins<sup>2,4</sup>, Jonathan Cook<sup>2</sup>, Andrew Judge<sup>2,5</sup>, Ines Rombach<sup>1,2</sup>, Benjamin J. F. Dean<sup>1,2</sup>, James A. Smith<sup>2</sup> and Andrew J. Carr<sup>1,2</sup>



# Factors modulating the placebo effect/response: non verbal cues

Color of the pills



Administration  
route



Dose



Invasive vs  
non invasive treatment



Other factors: price, size and forms, brand-labelled/generic

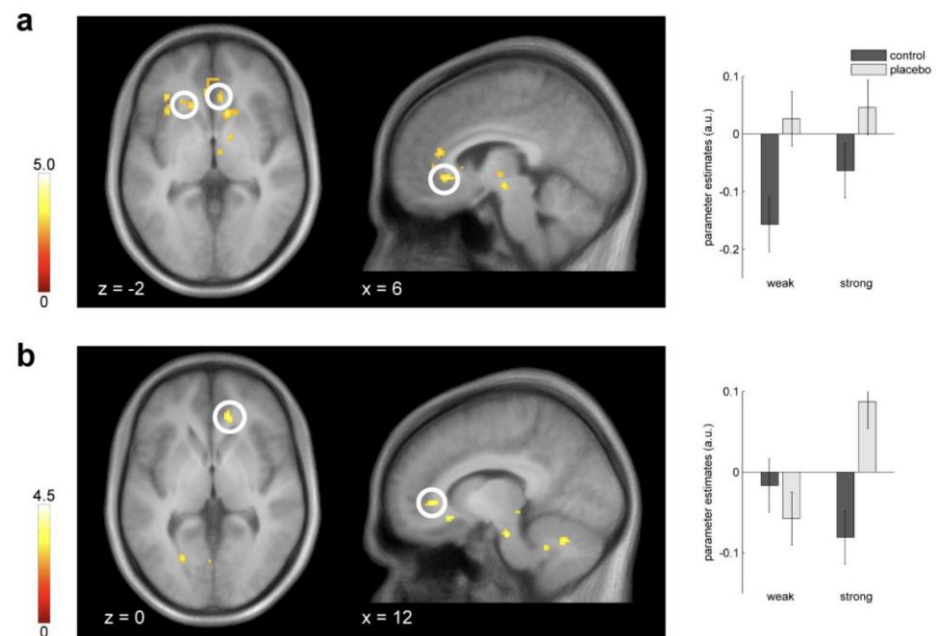
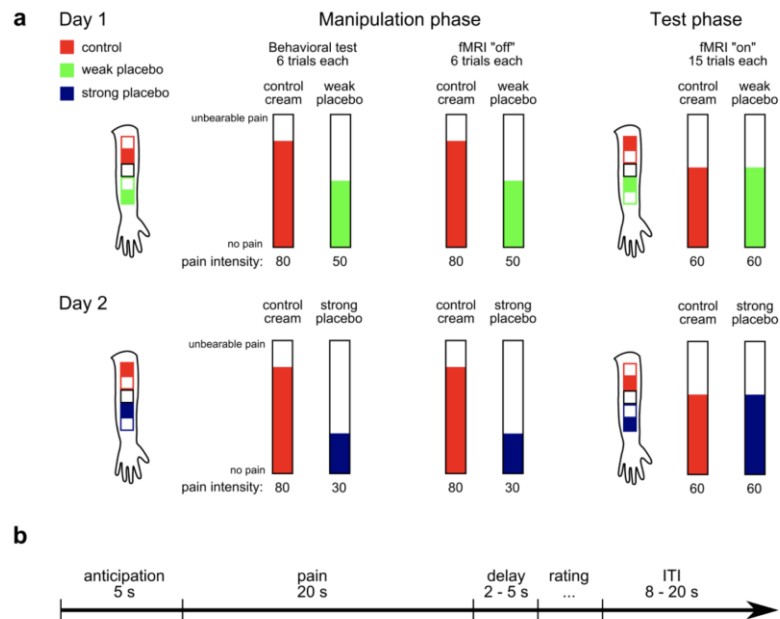
## Cortical and subcortical responses to high and low effective placebo treatments

Stephan Geuter, Falk Eippert, Catherine Hindi Attar, and Christian Büchel

Department of Systems Neuroscience, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany

Geuter et al.

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Higher price placebo had stronger analgesic effects and was associated with a stronger activation of brain areas involved in pain modulation.

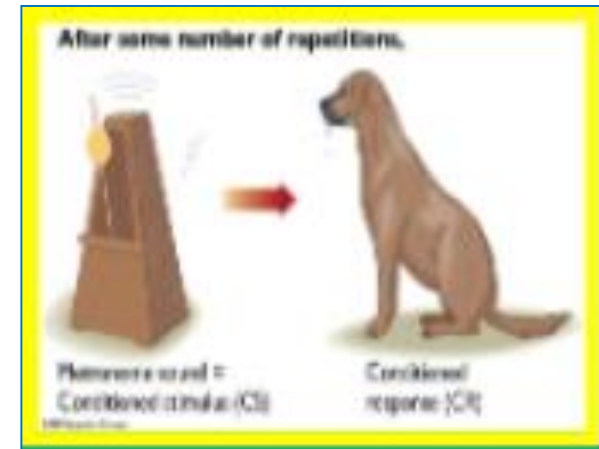
# Understanding the formation of placebo responses: Psychological theories

## **Verbal suggestion/expectations** -

anticipating a clinical benefit induces a placebo response – the patient experiences a health outcome and a change in the state of disease and illness



**Conditioning** – like Pavlov's dogs, a patient responds to stimuli which accompany the administration of a therapy because she/he has experienced benefit from such therapy in the past



Mostly based on experimental studies



## The impact of patient expectations on outcomes in four randomized controlled trials of acupuncture in patients with chronic pain

Klaus Linde <sup>a,\*</sup>, Claudia M. Witt <sup>b</sup>, Andrea Streng <sup>a</sup>, Wolfgang Weidenhammer <sup>a</sup>, Stefan Wagenpfeil <sup>c</sup>, Benno Brinkhaus <sup>b</sup>, Stefan N. Willich <sup>b</sup>, Dieter Melchart <sup>a,d</sup>

<sup>a</sup> Centre for Complementary Medicine Research, Department of Internal Medicine II, Technische Universität München, Kaiserstr. 9, 80801 Munich, Germany

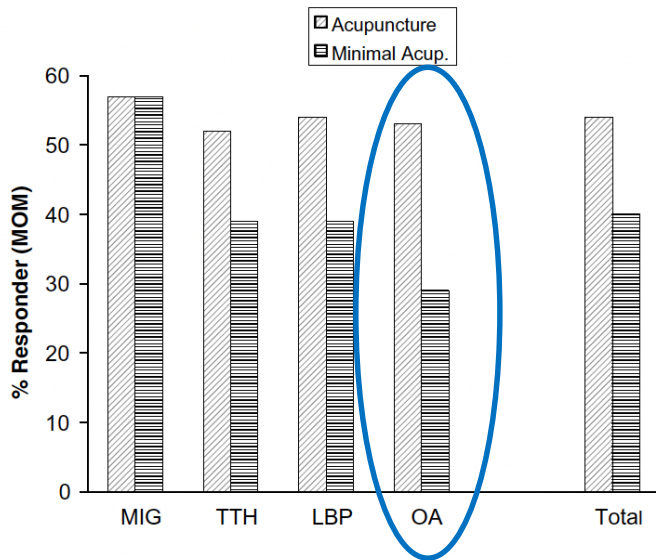
<sup>b</sup> Institute of Social Medicine, Epidemiology, and Health Economics, Charité University Medical Centre, Berlin, Germany

<sup>c</sup> Institute of Medical Statistics and Epidemiology, Technische Universität München, Munich, Germany

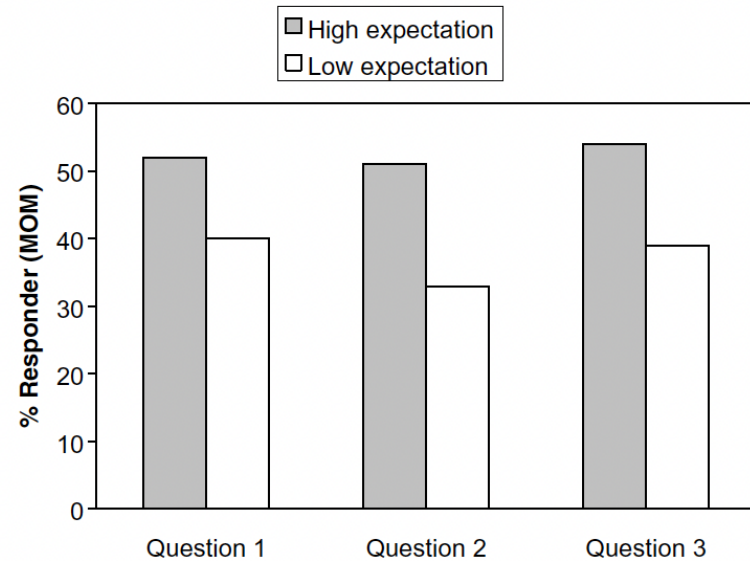
<sup>d</sup> Division of Complementary Medicine, Department of Internal Medicine, University Hospital Zurich, Switzerland

Received 27 June 2006; received in revised form 14 November 2006; accepted 4 December 2006

# The role of expectations



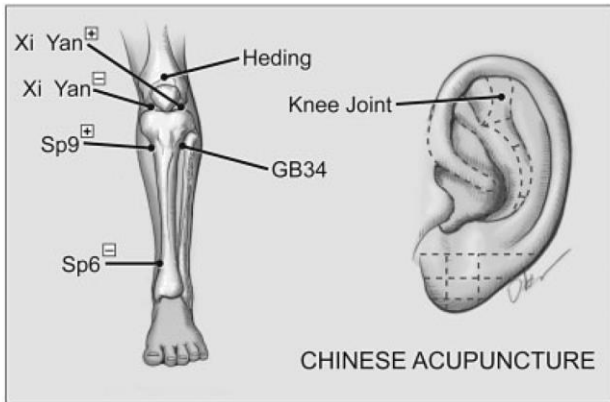
Significant difference only in OA patients



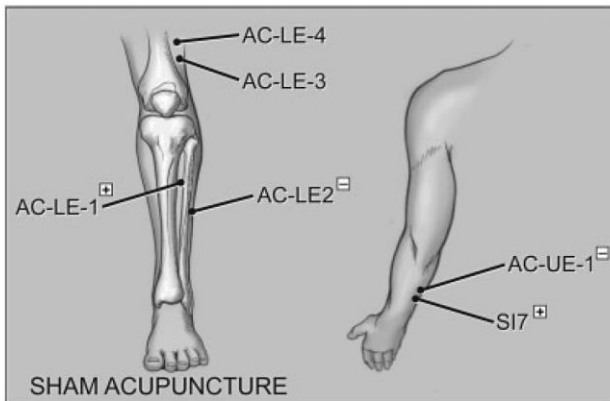
Significant effects of expectations in both sham and acupuncture groups

# A Randomized Controlled Trial of Acupuncture for Osteoarthritis of the Knee: Effects of Patient-Provider Communication

MARIA E. SUAREZ-ALMAZOR,<sup>1</sup> CAROL LOONEY,<sup>1</sup> YANFANG LIU,<sup>2</sup> VANESSA COX,<sup>1</sup>  
 KENNETH PIETZ,<sup>3</sup> DONALD M. MARCUS,<sup>3</sup> AND RICHARD L. STREET, JR.<sup>4</sup>



A



B

Table 2. Outcome measures by acupuncture treatment group\*

	Baseline		4 weeks		6 weeks		3 months		P†	Waiting list		
	TCA	Sham	TCA	Sham	TCA	Sham	TCA	Sham		Baseline	3 months	P‡
J-MAP§	4.4 ± 1.3	4.4 ± 1.3	3.3 ± 1.3	3.4 ± 1.3	3.1 ± 1.3	3.3 ± 1.4	3.3 ± 1.4	3.4 ± 1.5	> 0.20	4.3 ± 1.2	4.2 ± 1.3	0.0003¶
WOMAC pain§	44.5 ± 18.4	45.0 ± 18.2	31.8 ± 17.5	32.6 ± 17.2	28.1 ± 18.4	28.9 ± 18.6	30.8 ± 17.9	31.0 ± 19.1	> 0.20	44.1 ± 15.2	42.4 ± 16.8	0.0002¶
WOMAC function§	42.9 ± 19.0	44.6 ± 18.1	32.3 ± 17.7	34.1 ± 17.1	29.5 ± 17.8	31.4 ± 18.5	31.2 ± 17.9	32.1 ± 18.3	> 0.20	40.1 ± 16.5	41.7 ± 18.0	0.0003¶
SKIP#	n/a	n/a	4.15 ± 0.68	4.10 ± 0.68	4.13 ± 0.80	4.04 ± 0.79	4.00 ± 0.85	3.95 ± 0.78	> 0.20	n/a	n/a	n/a
VAS pain§	58.3 ± 22.3	57.4 ± 23.5	34.8 ± 25.9	38.2 ± 25.4	29.0 ± 26.3	32.5 ± 27.8	36.2 ± 28.5	36.7 ± 29.0	> 0.20	54.6 ± 21.3	53.2 ± 24.3	0.0001¶
SF-12 PCS#	35.0 ± 9.9	33.5 ± 8.7	38.5 ± 10.0	37.7 ± 9.1	40.5 ± 10.0	39.0 ± 9.9	39.5 ± 9.7	38.7 ± 10.1	> 0.20	35.3 ± 8.4	35.8 ± 8.9	0.11
SF-12 MCS#	52.3 ± 9.4	53.4 ± 9.3	53.9 ± 8.3	54.2 ± 8.9	53.4 ± 7.9	54.0 ± 8.7	54.1 ± 8.2	53.2 ± 8.9	> 0.20	53.7 ± 10.7	51.6 ± 9.8	0.11
TUG, seconds§	13.9 ± 7.3	13.4 ± 5.5	n/a	n/a	12.2 ± 4.3	12.2 ± 5.0	11.9 ± 4.1	12.1 ± 5.4	> 0.20	12.3 ± 3.3	12.2 ± 3.5	> 0.20
ROM, degrees#	105.7 ± 13.7	105.7 ± 13.1	n/a	n/a	106.1 ± 12.8	106.7 ± 13.1	106.2 ± 12.1	106.5 ± 12.6	> 0.20	105.7 ± 13.0	104.9 ± 13.7	> 0.20

\* Values are the mean ± SD. SKIP = Satisfaction with Knee Procedure; n/a = not administered. See Table 1 for additional definitions.  
 † For repeated-measures models testing for difference in means across time excluding the waiting list group.  
 ‡ Including the waiting list group.  
 § Improvement is seen as negative differences.  
 ¶ Statistically significant ( $P \leq 0.05$ ).  
 # Improvement is seen as positive differences.



Sham and acupuncture induced similar effects, but in both groups the analgesic effects were directly related to expectations and “acupuncturists’ style”

# BMJ Open Development of the generic, multidimensional Treatment Expectation Questionnaire (TEX-Q) through systematic literature review, expert surveys and qualitative interviews

Jannis Alberts <sup>1</sup>, Bernd Löwe <sup>1</sup>, Maja Alicia Glahn, Keith Petrie <sup>2</sup>, Johannes Laferton <sup>3</sup>, Yvonne Nestorovic <sup>4,5</sup>, Meike Shedden-Mora <sup>1</sup>

CLINICAL TRIALS ARTICLE

Clinical Trials 2012; 0: 1–10

## Development of the Stanford Expectations of Treatment Scale (SETS): A tool for measuring patient outcome expectancy in clinical trials

Jarred Younger, Vanisha Gandhi, Emily Hubbard and Sean Mackey

	strongly Disagree	moderately Disagree	slightly Disagree	agree Nor Disagree	slightly Agree	moderately Agree	strongly Agree
1. This treatment will be completely effective	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
2. I am worried about my treatment.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3. My condition will be completely resolved after treatment	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4. I have fears about this treatment	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
5. I have complete confidence in this treatment	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6. I am nervous about the negative effects of this treatment	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
7. What treatment are you going to receive?	<hr/>						
8. What specific benefits (if any) do you expect to receive from this treatment?	<hr/>						
9. What specific harms or negative side-effects (if any) do you think may occur because of this treatment?	<hr/>						
10. Have you ever received this treatment before?	<input type="checkbox"/> Yes	<input type="checkbox"/> No					



Table 3 Illustrative TEX-Q items for each subscale

Expected benefits											
How much relief in your symptoms do you expect from the treatment?											
No relief	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Complete relief
	0	1	2	3	4	5	6	7	8	9	10
Expected positive impact											
How much improvement do you expect in your ability to do your daily activities (eg, occupation, household, social life)?											
No improvement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Complete improvement
	0	1	2	3	4	5	6	7	8	9	10
Expected harm											
To what extent do you expect risks from your treatment?											
No risks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Extreme risks
	0	1	2	3	4	5	6	7	8	9	10
Expected negative impact											
How much do you expect the treatment will reduce your quality of life?											
Not at all	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Extremely
	0	1	2	3	4	5	6	7	8	9	10
Desired benefits											
How much benefit do you hope for from the treatment?											
No benefit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Extreme benefit
	0	1	2	3	4	5	6	7	8	9	10
Desired impact											
How much improvement do you hope for considering your emotional state?											
No improvement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Extreme improvement
	0	1	2	3	4	5	6	7	8	9	10
Feared harm											
To what extent do you fear risks from the treatment?											
No risk	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Extreme risk
	0	1	2	3	4	5	6	7	8	9	10
Feared negative impact											
How much do you fear the treatment will limit your day-to-day responsibilities (eg, at home, at work, in the family)?											
Not at all	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Extremely
	0	1	2	3	4	5	6	7	8	9	10
Process-related expectations											
To what extent do you expect to be satisfied with the treatment procedure or process?											
Not at all	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Extremely
	0	1	2	3	4	5	6	7	8	9	10
Expected behavioural control of the treatment											
To what extent do you expect your own behaviour to influence the success of the treatment?											
Not at all	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Extremely
	0	1	2	3	4	5	6	7	8	9	10

TEX-Q, Treatment Expectation Questionnaire.



# Other studies have reported variable effects of expectations in clinical studies

Haanstra et al. *Health and Quality of Life Outcomes* 2012, **10**:152  
<http://www.hqlo.com/content/10/1/152>



RESEARCH

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## Systematic review: Do patient expectations influence treatment outcomes in total knee and total hip arthroplasty?

Tsjitske M Haanstra<sup>1\*</sup>, Tobias van den Berg<sup>1</sup>, Raymond W Ostelo<sup>1,2</sup>, Rudolf W Poolman<sup>3</sup>, Ilse P Jansma<sup>4</sup>, Pim Cuijpers<sup>5</sup> and Henrica CW de Vet<sup>1</sup>

Complementary Therapies in Medicine (2015) 23, 185–199



Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

ScienceDirect

journal homepage: [www.elsevierhealth.com/journals/ctim](http://www.elsevierhealth.com/journals/ctim)



## Measuring expectations of benefit from treatment in acupuncture trials: A systematic review<sup>☆</sup>



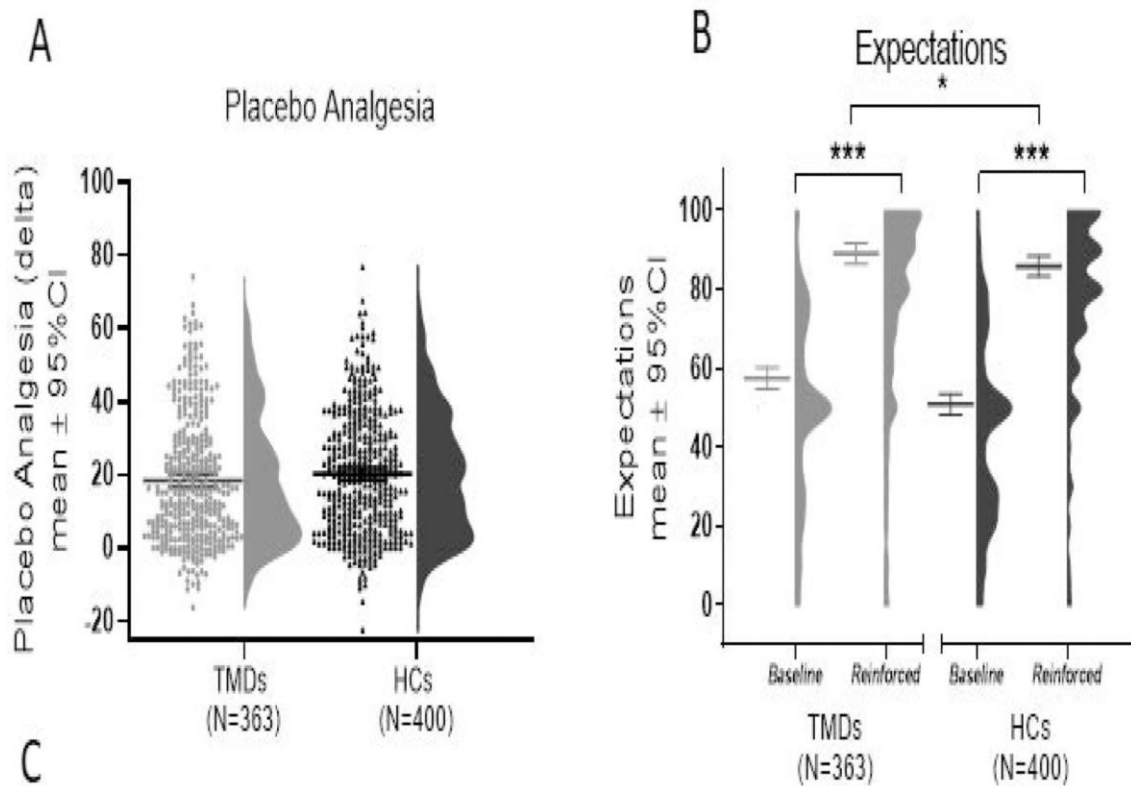
Stephanie L. Prady<sup>a,\*</sup>, Jane Burch<sup>b</sup>, Laura Vanderbloemen<sup>c</sup>, Simon Crouch<sup>a</sup>, Hugh MacPherson<sup>a</sup>

Importance of unconscious expectations?

## Prior therapeutic experiences, not expectation ratings, predict placebo effects: An experimental study in chronic pain and healthy participants

Luana Colloca<sup>1,2,3</sup>, Titilola Akintola<sup>1,3</sup>, Nathaniel R Haycock<sup>1</sup>, Maxie Blasini<sup>1</sup>, Sharon Thomas<sup>1</sup>, Jane Phillips<sup>4</sup>, Nicole Corsi<sup>1</sup>, Lieven A. Schenk<sup>1,3</sup>, Yang Wang<sup>1,3</sup>

<sup>1</sup>Department of Pain and Translational Symptom Science, University of Nursing, University of Maryland, Baltimore, US



Similar placebo analgesia in TMD patients and HCs but, in patients, analgesia was not mediated by expectations

# The role of classical conditioning has been well established in experimental setting, but less in clinical studies



Pharmacology

Brief Report

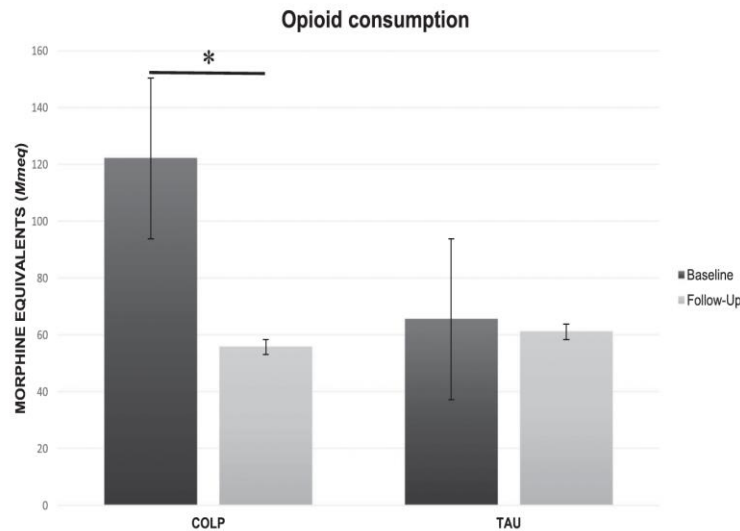
OPEN

PAIN  
REPORTS®

## Conditioning open-label placebo: a pilot pharmacobehavioral approach for opioid dose reduction and pain control

Leon Morales-Quezada<sup>a,\*</sup>, Ines Mesia-Toledo<sup>a</sup>, Anayali Estudillo-Guerra<sup>a</sup>, Kevin C. O'Connor<sup>a</sup>, Jeffrey C. Schneider<sup>a</sup>, Douglas J. Sohn<sup>a</sup>, David M. Crandell<sup>a</sup>, Ted Kaptchuk<sup>b</sup>, Ross Zafonte<sup>a</sup>

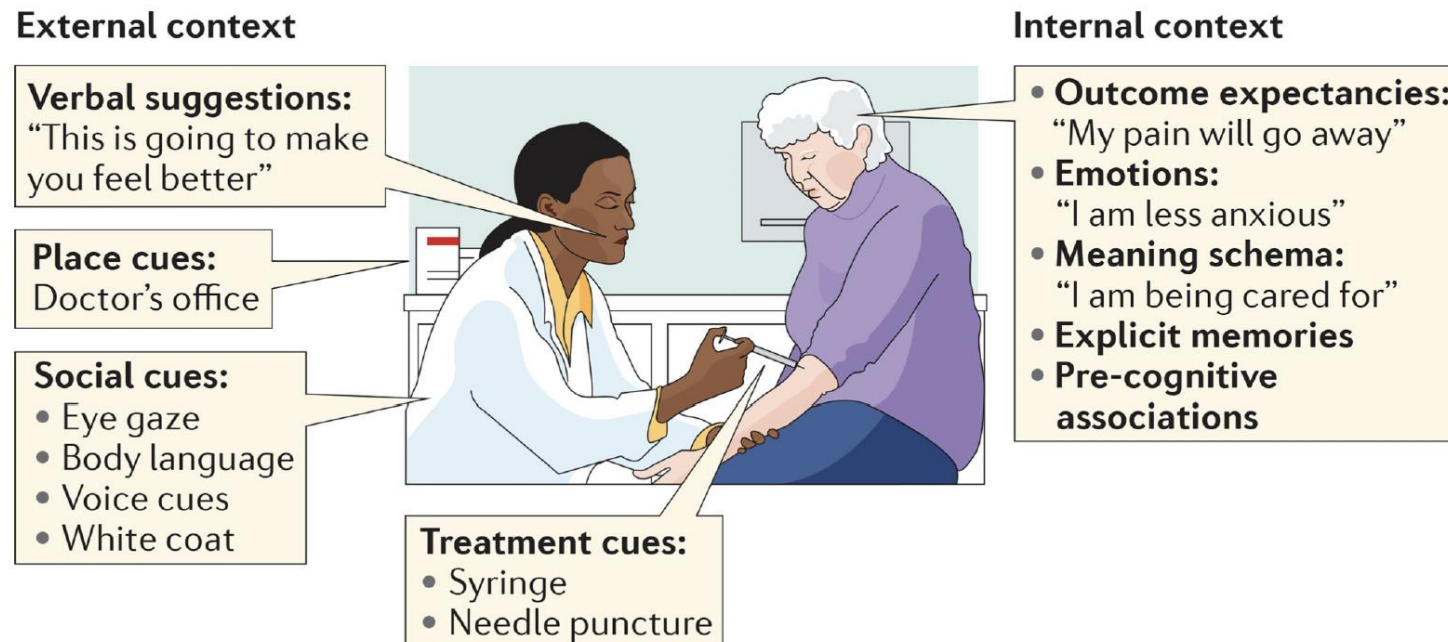
Cap



It is possible to decrease opioids consumption, by pairing opioids with placebo administration

# Main determinants of the placebo effect

- Clinical encounter
- Patient-physician relationship (attention, empathy, trust, competence, proximity, etc.)
- Quality of the doctor-patient communication (verbal and non verbal)
  - Associative processes
  - Medical Ritual

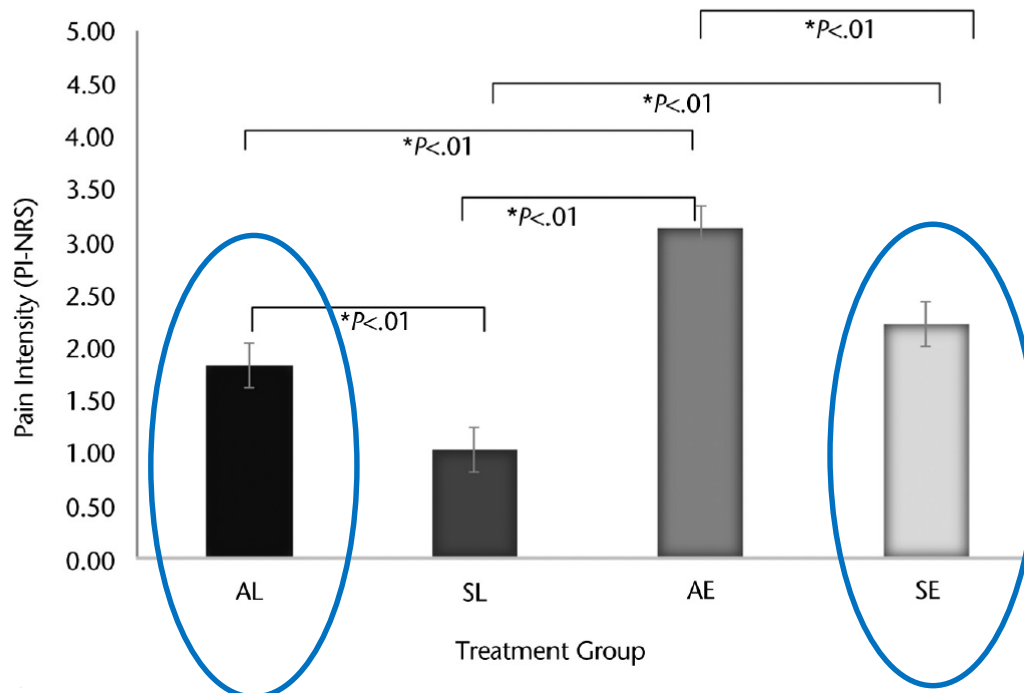


# How to investigate further the role of contextual factors?

## Enhanced Therapeutic Alliance Modulates Pain Intensity and Muscle Pain Sensitivity in Patients With Chronic Low Back Pain: An Experimental Controlled Study

Jorge Fuentes, Susan Armijo-Olivo, Martha Funabashi, Maxi Miciak, Bruce Dick, Sharon Warren, Saifee Rashid, David J. Magee, Douglas P. Gross

Four groups of LBP patients receiving active or sham electrotherapy associated with enhanced or limited TA



High TA increased the effects of both sham and active electrotherapy

Sham treatment with enhanced TA was better than active treatment with low TA

# Are there predicting factors of the placebo effect ?

- Learning and conditioning: prior experiences of pain and of treatment
- Patients characteristics (extraversion, agreeableness, openness, less emotional distress or catastrophizing)
- Practitioner characteristics (expectancy of pain relief, empathy)
- Verbal suggestions (Vase et al., 2003; Verne et al. 2003)
- Patients-practitioner relationship (Kaptchuk et al., 2008)



Patients expectations about pain relief: are they predictive?

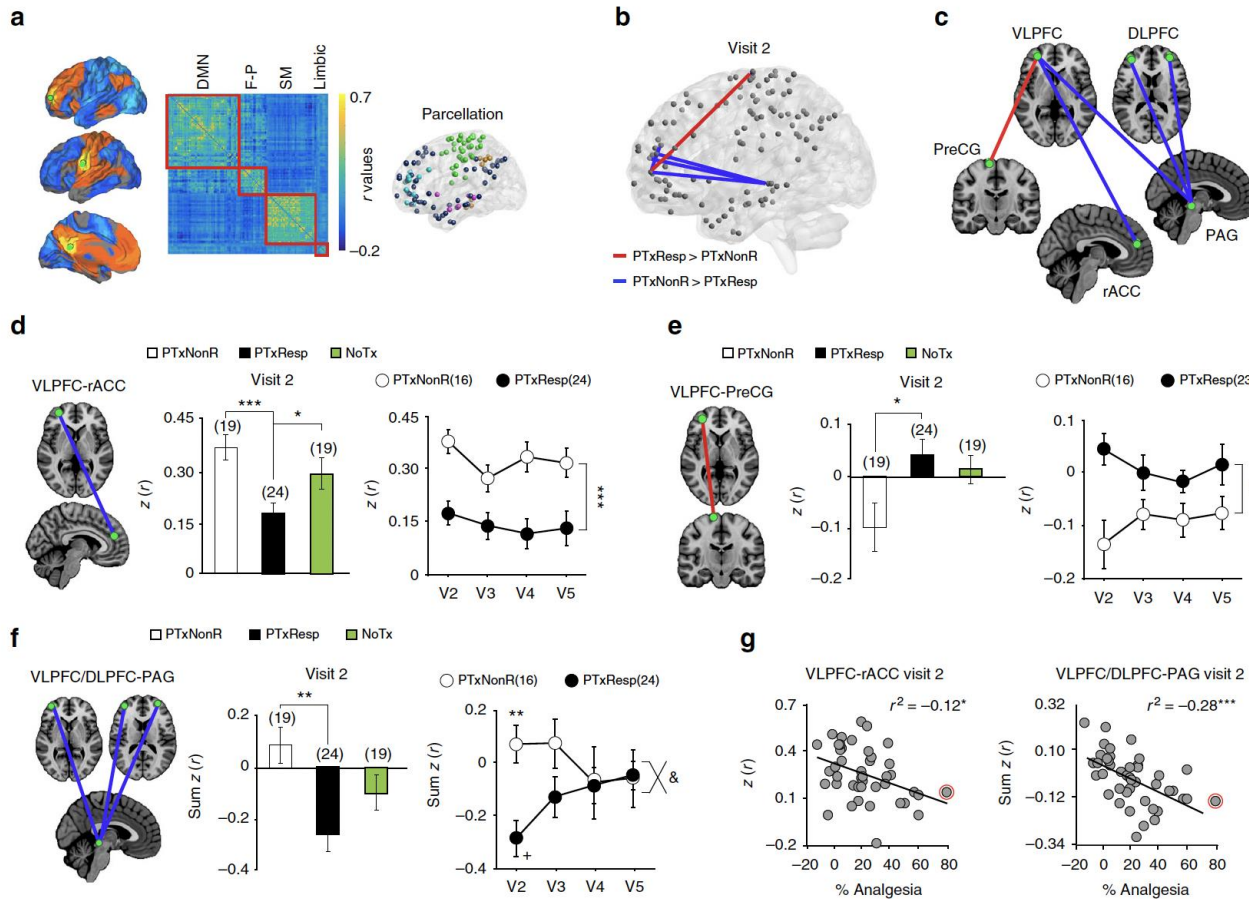
ARTICLE

DOI: 10.1038/s41467-018-05859-1

OPEN

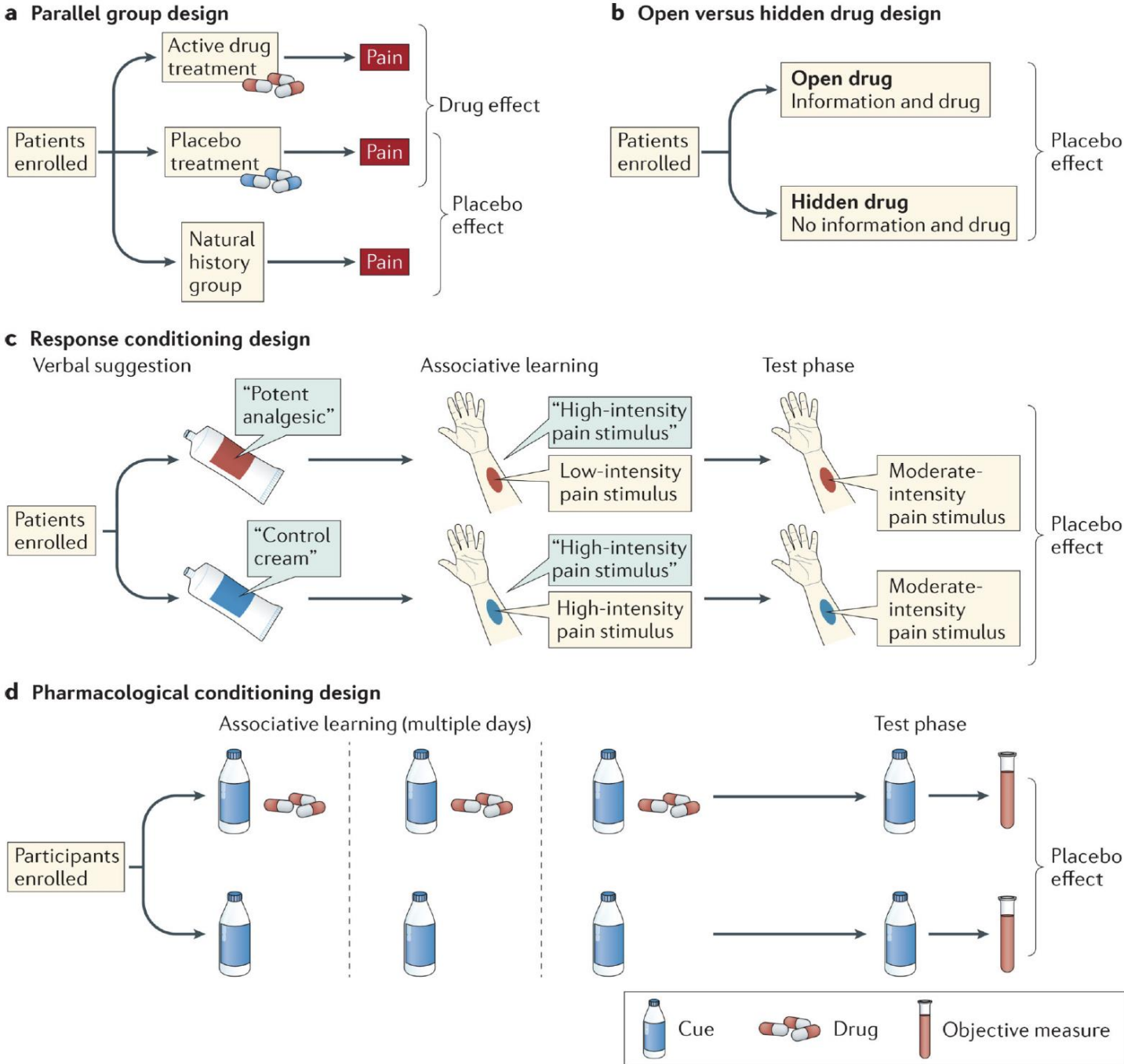
# Brain and psychological determinants of placebo pill response in chronic pain patients

Etienne Vachon-Presseau<sup>1</sup>, Sara E. Berger<sup>1,2</sup>, Taha B. Abdullah<sup>1</sup>, Lejian Huang<sup>1</sup>, Guillermo A. Cecchi<sup>1,2</sup>, James W. Griffith<sup>3</sup>, Thomas J. Schnitzer<sup>4,5</sup> & A. Vania Apkarian<sup>1,5,6</sup>



Specific brain connectivity patterns can predict the placebo effect

# Study designs used to analyse the placebo effect





# Which study design to better assess the placebo and non specific effects?

Most of the RCTs analyzed the placebo response, but not the placebo effect

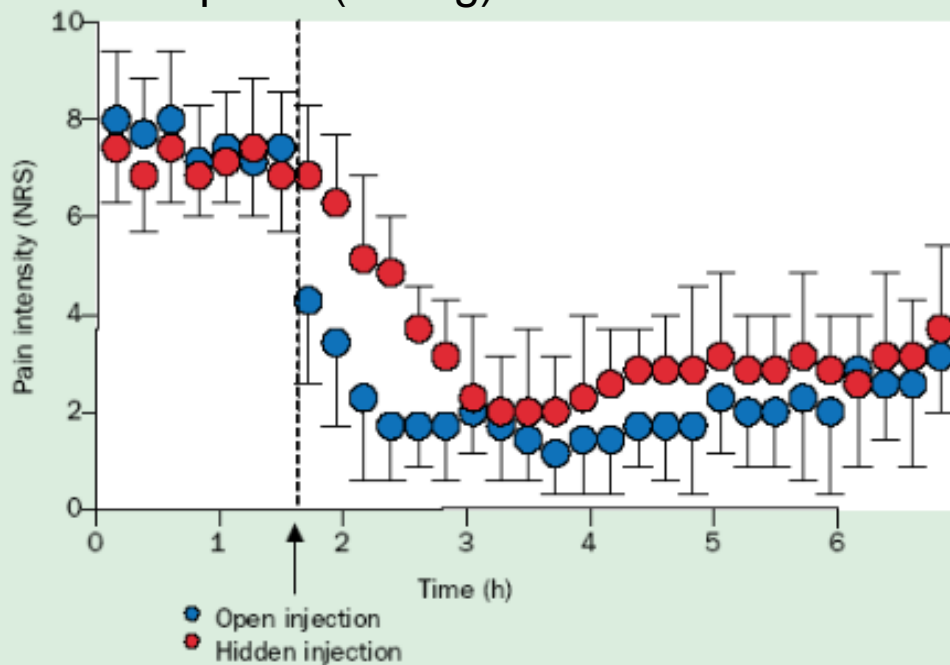


- Open-hidden treatment
- Balanced-placebo design
- Double-blind vs decepttive
- Dose-Extending placebo design
- Open label design

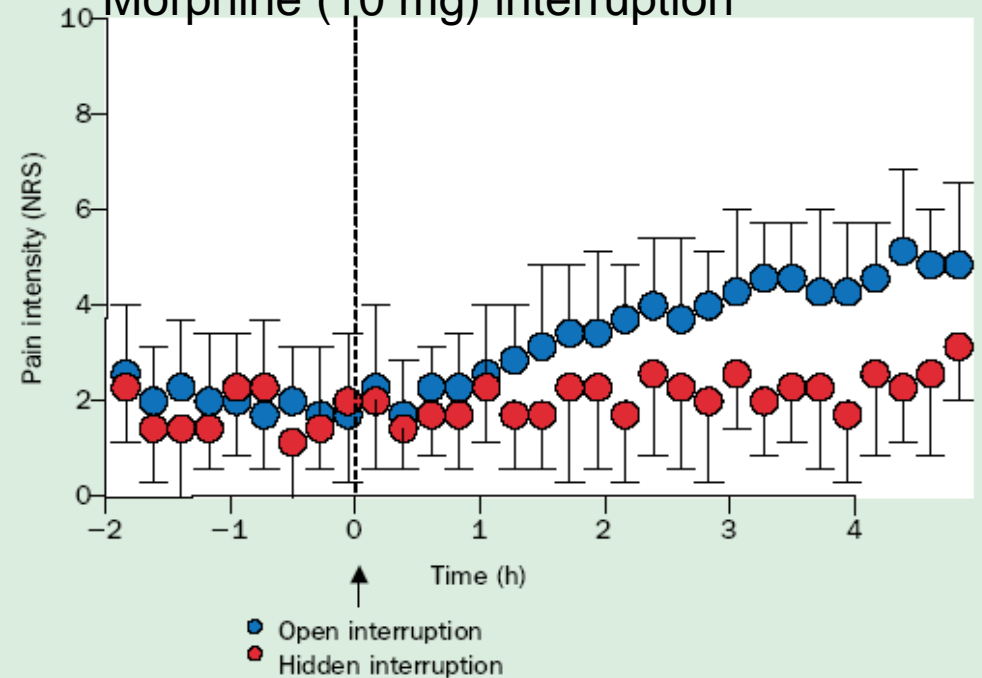
# The Open-Hidden administration paradigm



Morphine (10 mg) administration

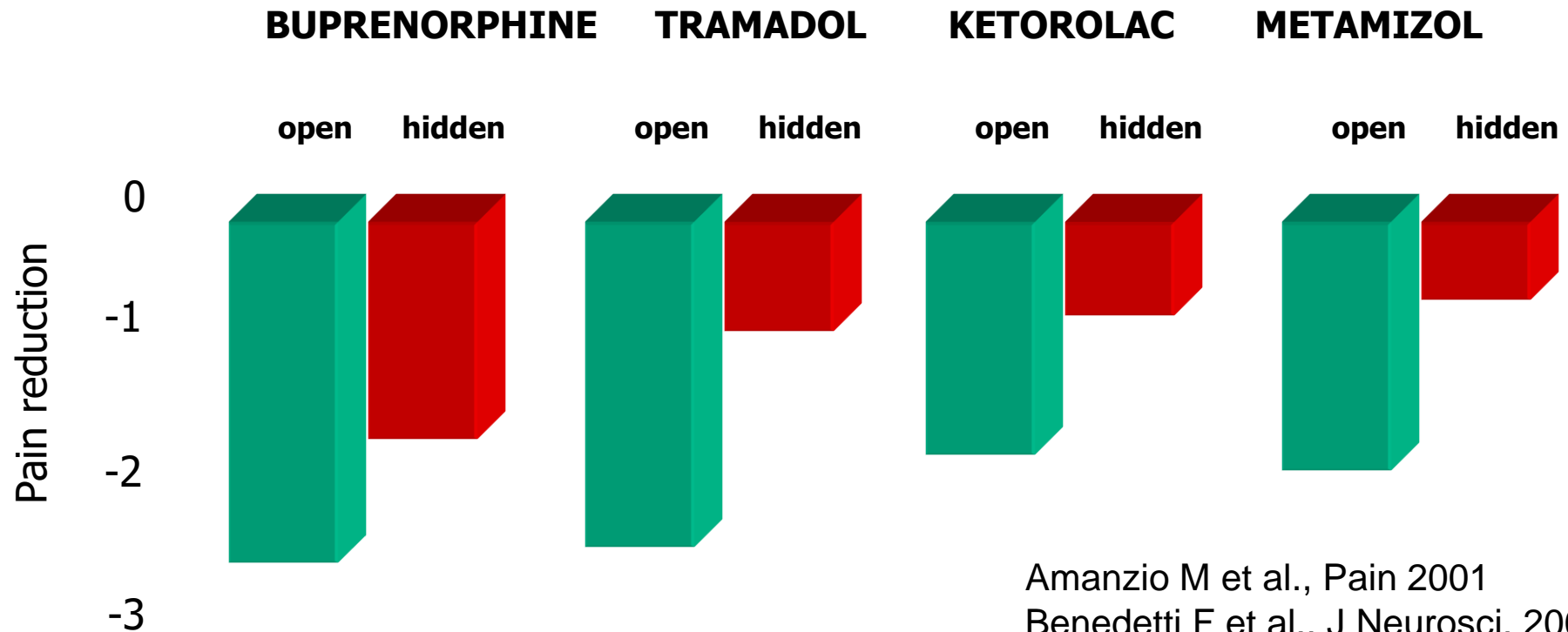


Morphine (10 mg) interruption



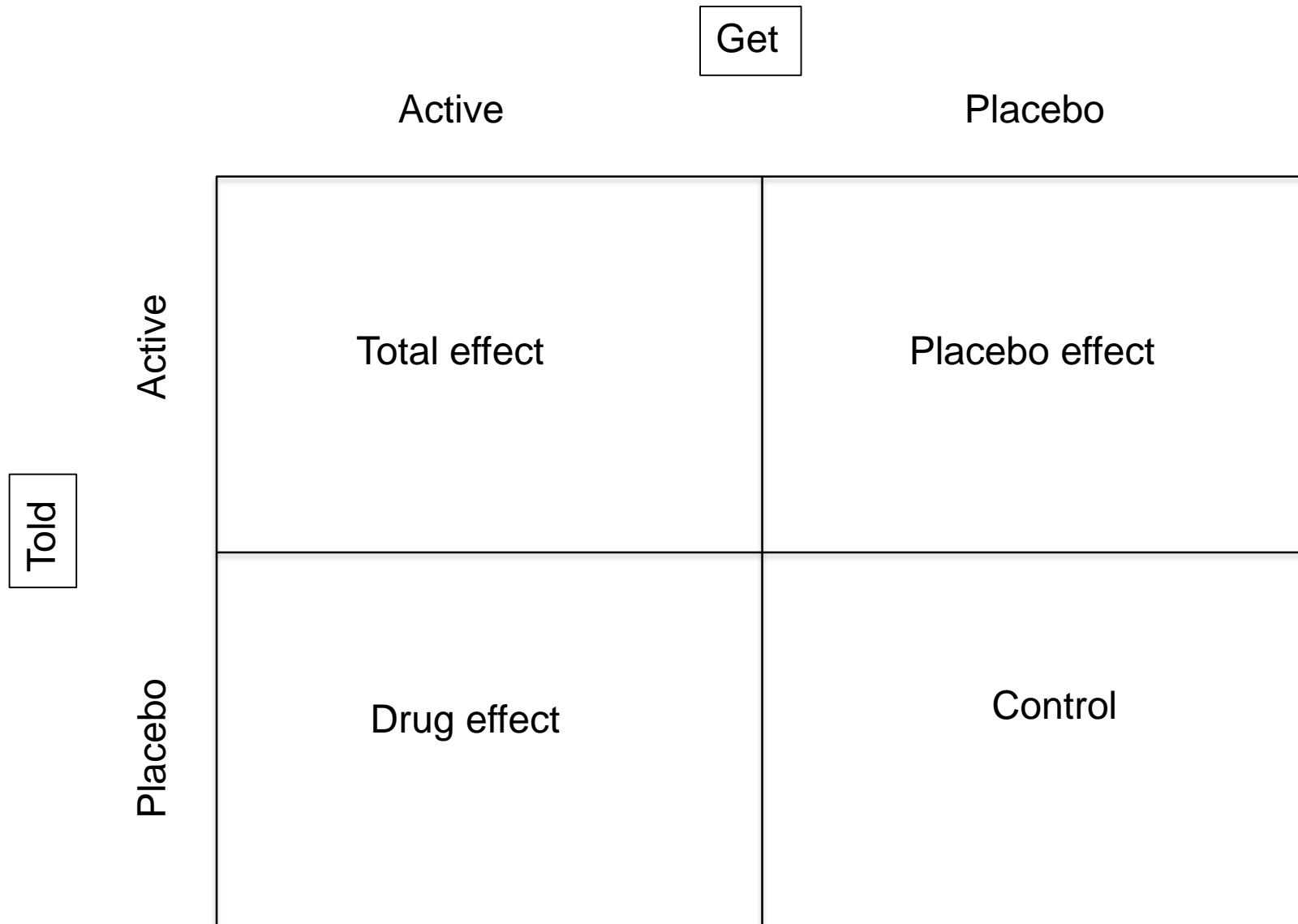
# Placebo effects without any placebos

Open-hidden  
paradigm



Amanzio M et al., Pain 2001  
Benedetti F et al., J Neurosci, 2003  
Colloca et al, Lancet Neurol., 2004

# The balanced-placebo design: control for the role of verbal information





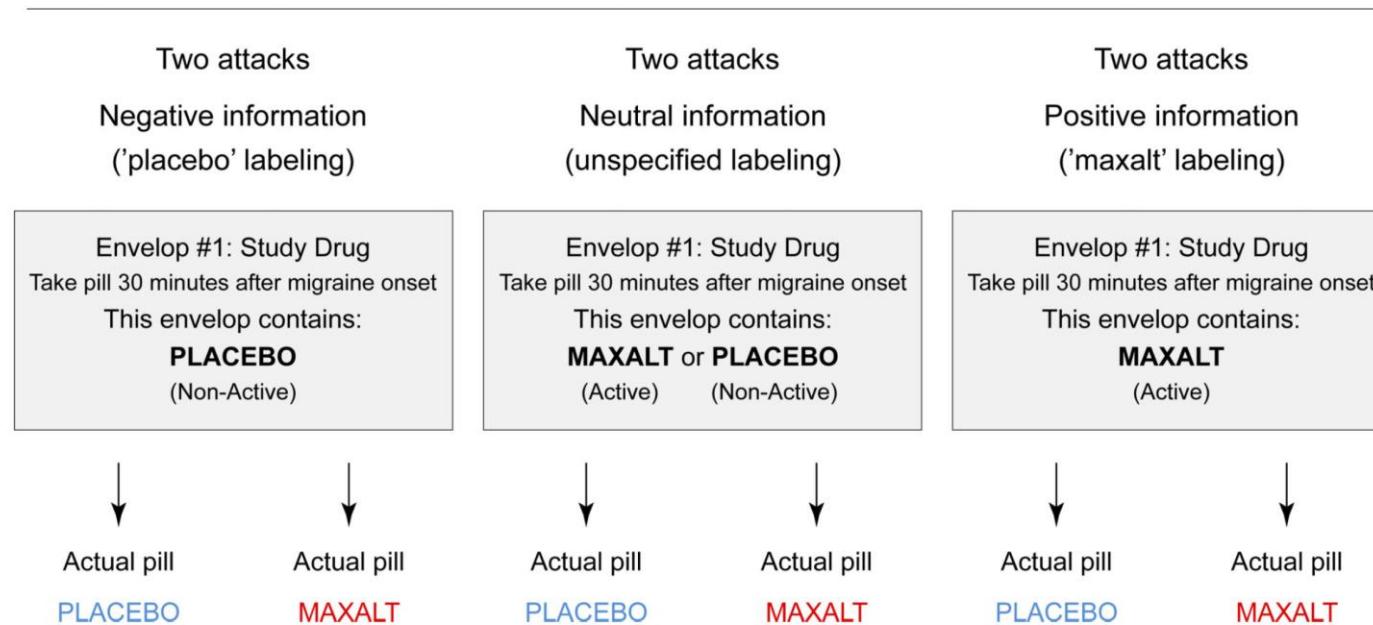
Published in final edited form as:

Sci Transl Med. 2014 January 8; 6(218): 218ra5. doi:10.1126/scitranslmed.3006175.

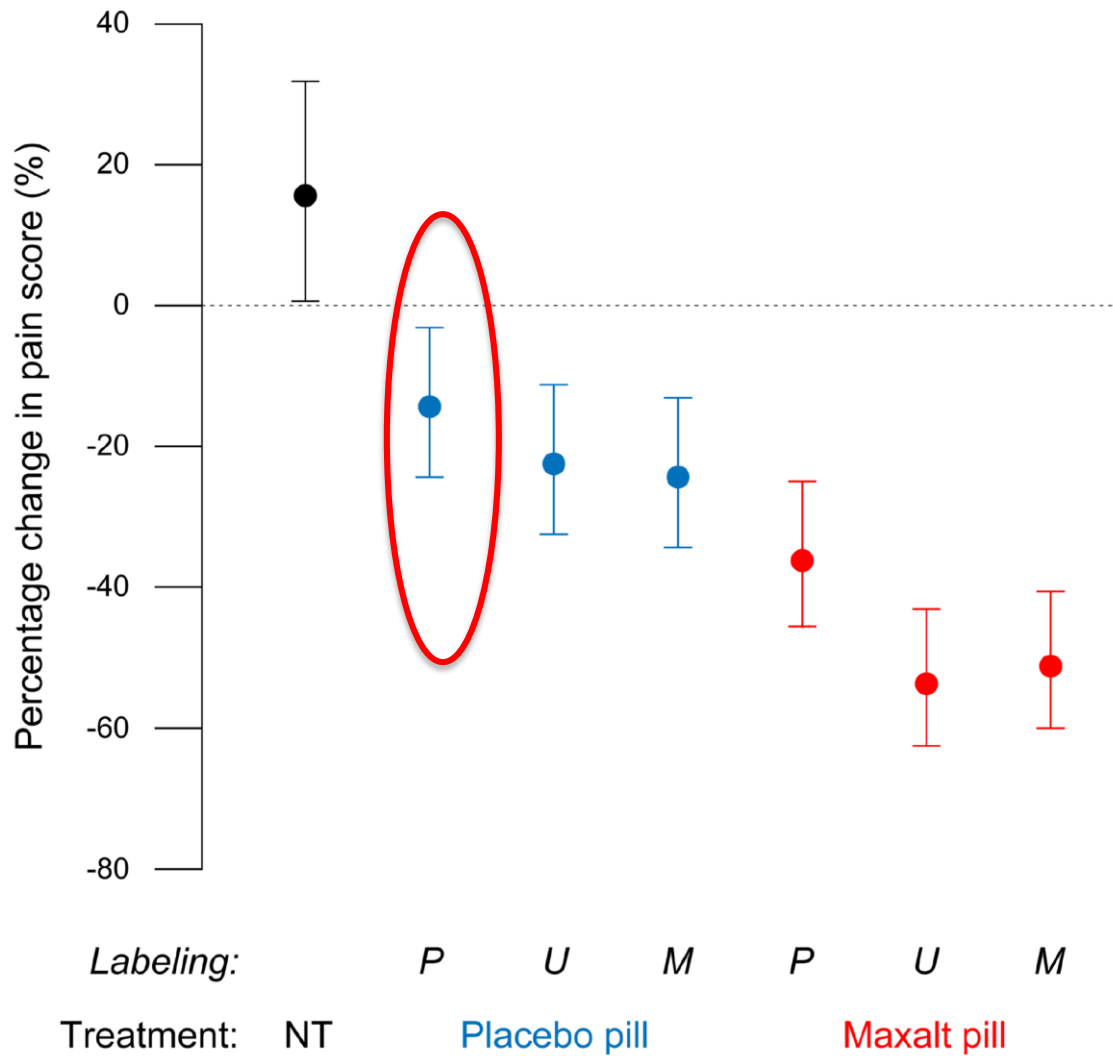
## Labeling of Medication and Placebo Alters the Outcome of Episodic Migraine Attacks

Slavenka Kam-Hansen<sup>1</sup>, Moshe Jakubowski<sup>2</sup>, John M. Kelley<sup>3,4,5</sup>, Irving Kirsch<sup>5,7</sup>, David C. Hoaglin<sup>6</sup>, Ted J. Kaptchuk<sup>5,\*</sup>, and Rami Burstein<sup>2,\*</sup>

### Study-drug labels (attacks 1–6)



# Results



1 - The placebo presented as active was similar to the active presented as placebo

2 - More than 50% of the active drug effects can be attributed to the placebo effect

3 - The placebo presented as placebo was better than no treatment.

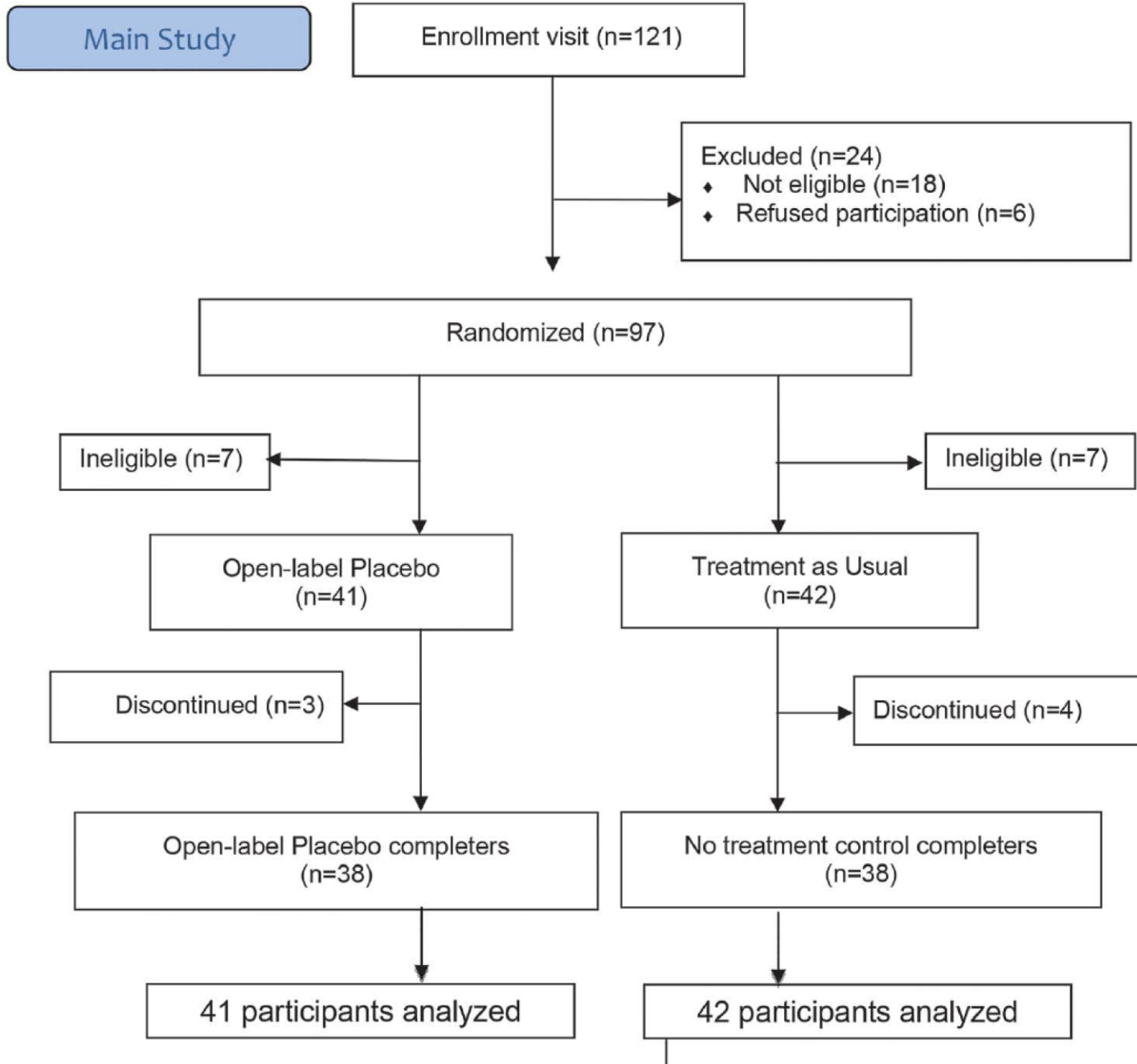
# Open-label placebo treatment in chronic low back pain: a randomized controlled trial

Cláudia Carvalho<sup>a,\*</sup>, Joaquim Machado Caetano<sup>b</sup>, Lidia Cunha<sup>c</sup>, Paula Rebouta<sup>c</sup>, Ted J. Kaptchuk<sup>d</sup>, Irving Kirsch<sup>d</sup>



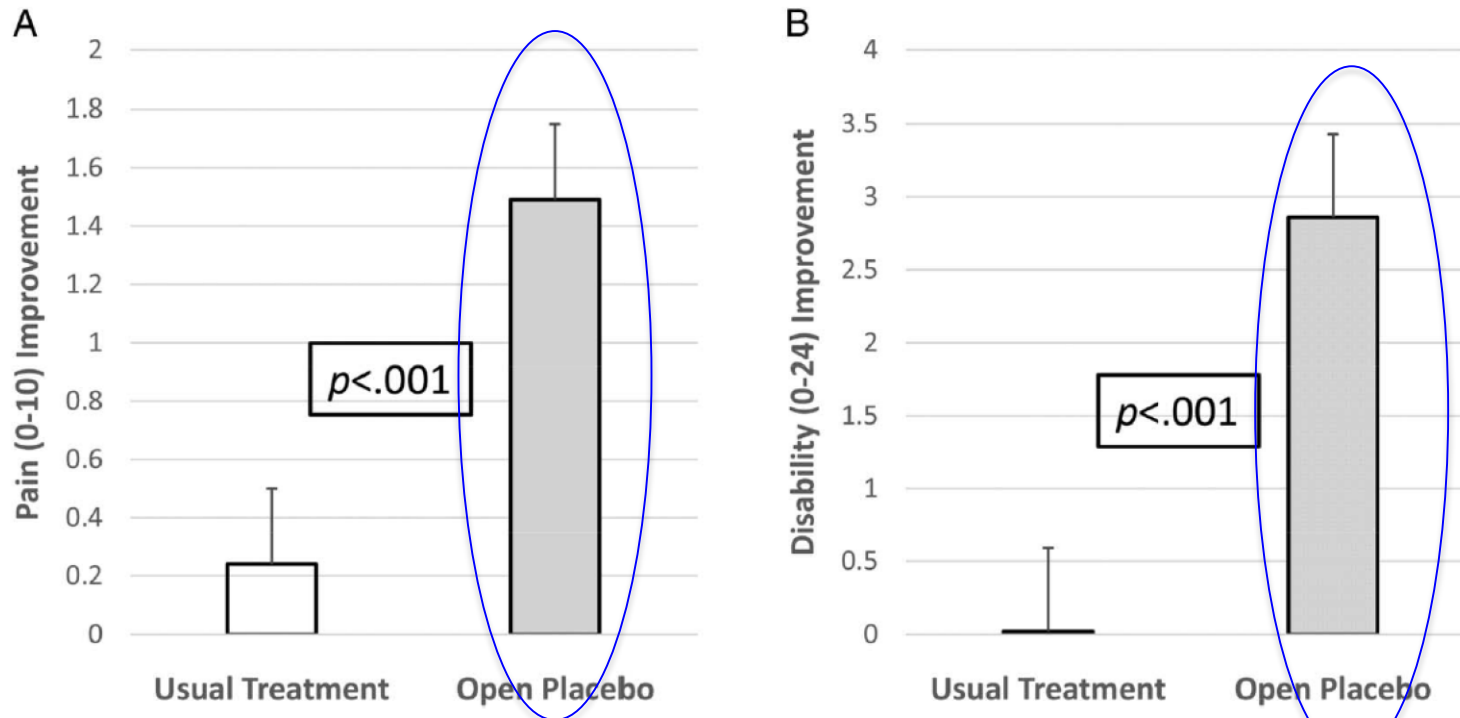
Is it possible to induce a placebo effect  
without deceiving the patients?

# Flow chart





# Results



**Figure 2.** Outcomes by treatment group at 21-day endpoint. (A) Mean adjusted change scores on the composite pain measure. (B) Mean adjusted change scores on the 24-item Roland-Morris Disability Questionnaire. Error bars represent standard errors of the mean.

Effects on pain

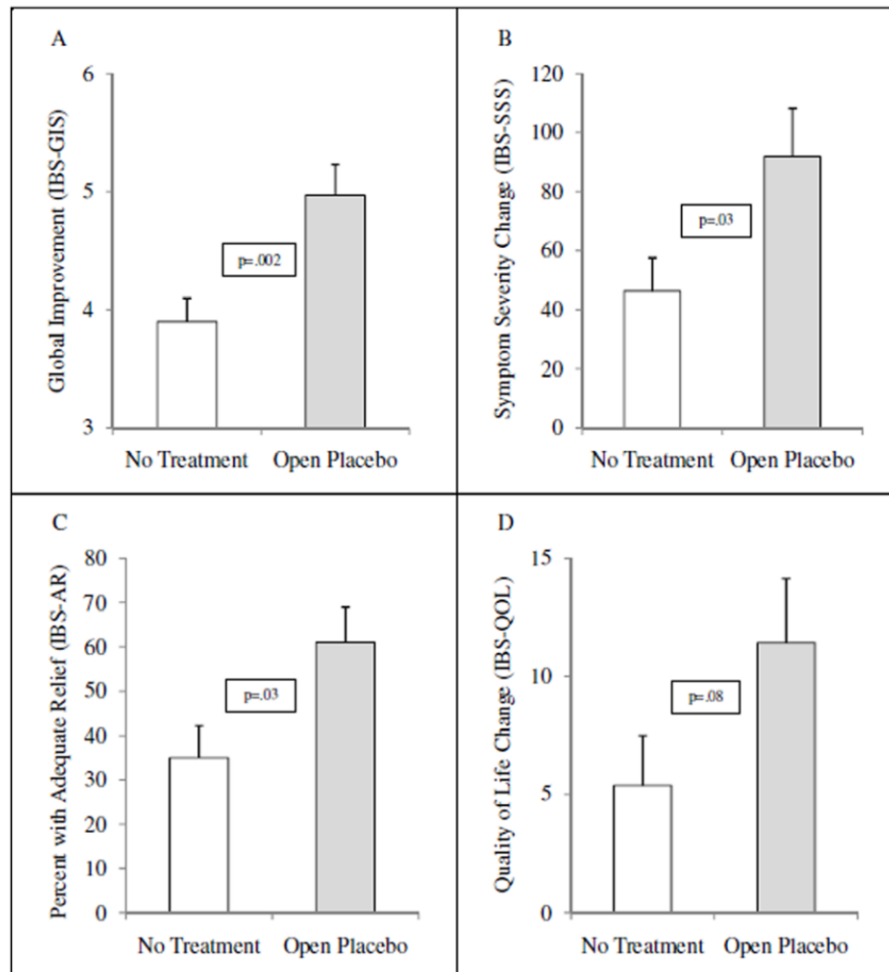
mean = 30 %

Effects on function

# Placebos without Deception: A Randomized Controlled Trial in Irritable Bowel Syndrome

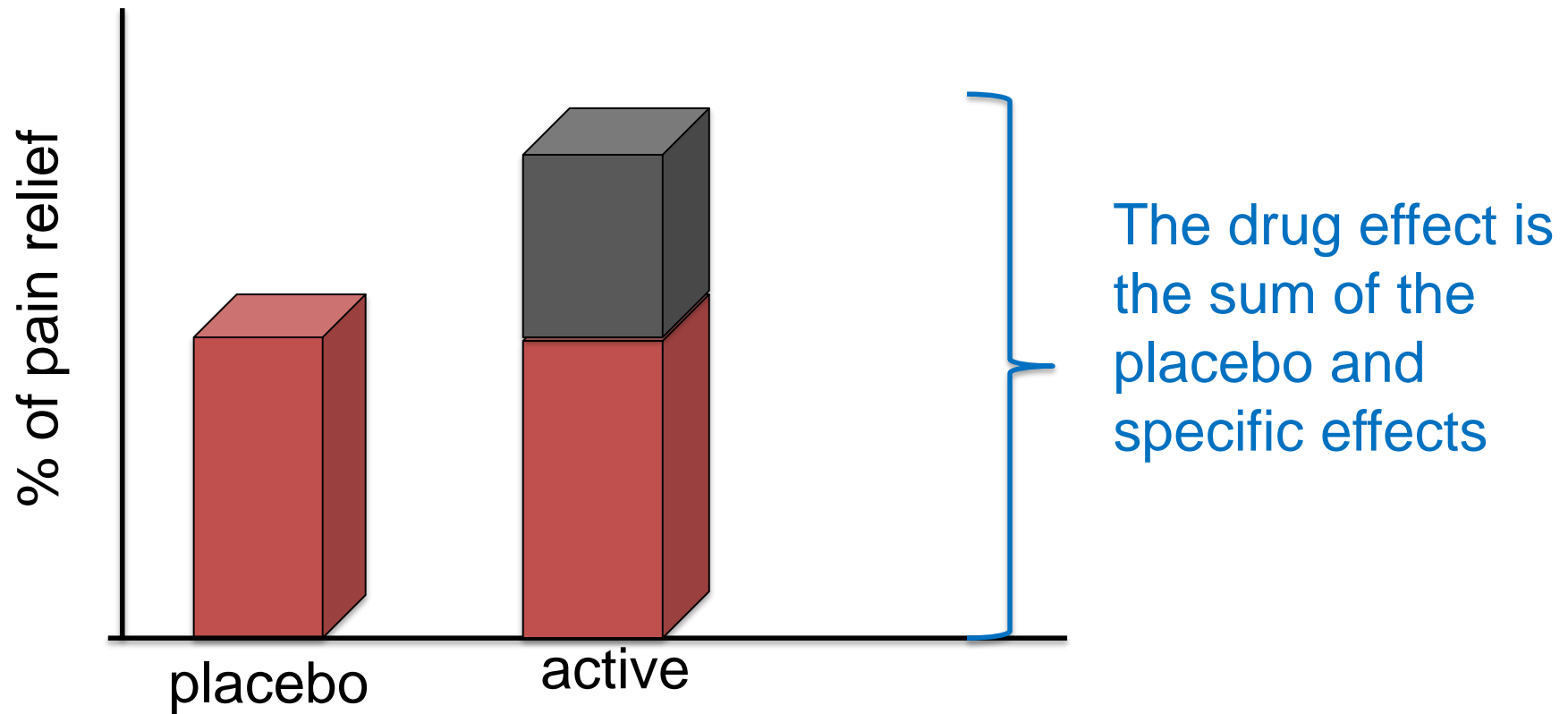
Ted J. Kaptchuk<sup>1,2\*</sup>, Elizabeth Friedlander<sup>1</sup>, John M. Kelley<sup>3,4</sup>, M. Norma Sanchez<sup>1</sup>, Efi Kokkotou<sup>1</sup>, Joyce P. Singer<sup>2</sup>, Magda Kowalczykowski<sup>1</sup>, Franklin G. Miller<sup>5</sup>, Irving Kirsch<sup>6</sup>, Anthony J. Lembo<sup>1</sup>

<sup>1</sup> Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, Massachusetts, United States of America, <sup>2</sup> Osher Research Center, Harvard Medical School, Boston, Massachusetts, United States of America, <sup>3</sup> Psychology Department, Endicott College, Beverly, Massachusetts, United States of America, <sup>4</sup> Massachusetts General Hospital, Harvard Medical School, Boston, Massachusetts, United States of America, <sup>5</sup> Department of Bioethics, National Institutes of Health, Bethesda, Maryland, United States of America, <sup>6</sup> Department of Psychology, University of Hull, Hull, United Kingdom



Satisfactory pain relief in 59% of the patients

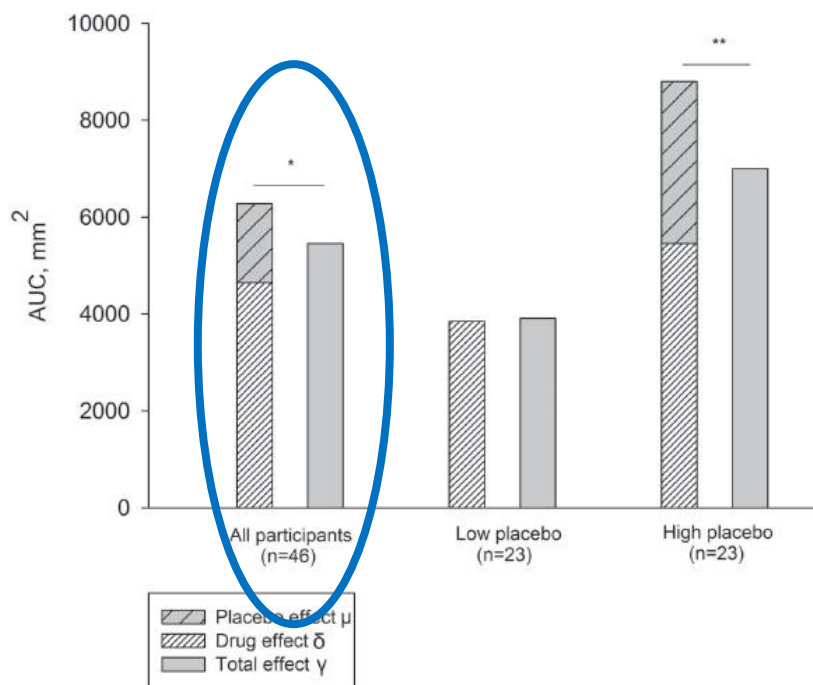
# Is the additivity assumption true?



# Randomised Controlled Trials May Underestimate Drug Effects: Balanced Placebo Trial Design

Karen Lund<sup>1\*</sup>, Lene Vase<sup>2</sup>, Gitte L. Petersen<sup>2</sup>, Troels S. Jensen<sup>1</sup>, Nanna B. Finnerup<sup>1</sup>

<sup>1</sup> Danish Pain Research Center, Aarhus University Hospital, Aarhus, Denmark, <sup>2</sup> Department of Psychology, School of Business and Social Sciences, Aarhus University, Aarhus, Denmark



The total effect was lower than placebo + drug effect

**Figure 3. Subadditive placebo and drug effects.** Mean area under the curve (AUC) for the sum of the drug effect and the placebo effect ( $\delta + \mu$ ) and for the total treatment effect ( $\gamma$ ) for all participants and for the groups with low and high placebo effects.\*  $P < 0.05$ , \*\*  $P < 0.01$ .  
doi:10.1371/journal.pone.0084104.g003

# Has the placebo effect increased over the last decade?

- This has been reported in studies related to antidepressants, antipsychotics (e.g. Bridge et al., 2009 Agid et al., 2014)
- Suggested reasons: the most recent studies were associated with larger sample sizes, longer duration of the study, increase in the number of sites, less un-blinding, etc.

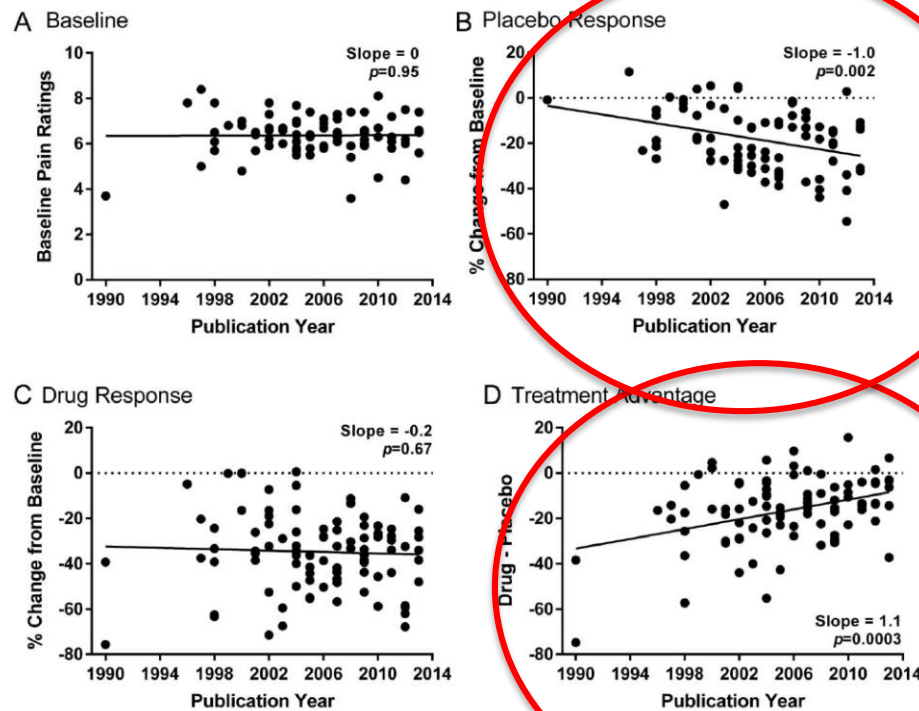


Is it the same in pain studies?



# Increasing placebo responses over time in U.S. clinical trials of neuropathic pain

Alexander H. Tuttle<sup>a</sup>, Sarasa Tohyama<sup>a</sup>, Tim Ramsay<sup>b</sup>, Jonathan Kimmelman<sup>c</sup>, Petra Schweinhardt<sup>d</sup>, Gary J. Bennett<sup>e</sup>, Jeffrey S. Mogil<sup>a,\*</sup>



Only in the US  
a recent analysis tend  
to contradict these results



The trend was mainly due  
to the studies performed  
up to 2000

**Figure 2.** Trends in neuropathic pain trials over the period 1990 to 2013. (A) No change over time was observed in baseline (predrug) pain ratings. Placebo response increased significantly over time (B), but treatment (drug) response (C) did not. Treatment advantage (drug–placebo) decreased significantly over time (D). All *P* values are uncorrected but, in graphs (B) and (D), remain highly significant after Bonferroni correction for multiple comparisons.

# Is it possible to reduce the placebo effect by excluding the placebo responders?

- Placebo run-in phases,
- Enriched enrollment,
- Randomized withdrawal, etc.



overall, these approaches have not been successful



The placebo effects might be related to the drug effect



The large variability of the placebo responses which might be related to the fact that the response to placebo is more a state than a trait

# Conclusions

- Unspecific effects represent a large proportion of the observed responses in pain studies.
- It is important to distinguish placebo effect and placebo response.
- Major improvement in our understanding of the mechanisms of placebo analgesia, but most of the studies were done in healthy volunteers.
- Several factors influence the placebo effect, but no reliable predictors have been identified so far.
- Expected level of pain relief and desire for pain relief seem to be major determinants (predictors?) of the placebo effect.
- Is the placebo effect a state or trait?